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# The Babel2 Manual

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# 1 Introduction

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This document serves as a technical documentation of the Babel2 framework. It is work in progress and it is our aim to continuously improve and enhance the text. This manual accompanies Babel2 which can be downloaded for free at <http://arti.vub.ac.be/b2d1/>. Furthermore, <http://fcg-net.org> contains additional background information and downloadable papers that show in-depth experiments with Babel2.

Babel2 connects the implementations of our core technologies such as *Fluid Construction Grammar* (FCG) and *Incremental Recruitment Language* (IRL) with mechanisms for multi-agent interactions, robotic embodiment, cognitive processing and learning. An extensive monitoring system gives access to every detail of Babel2's intermediate representations and dynamics and a high modularity ensures that the system can be used in a very wide variety of scenarios.

Babel2 is written in Common Lisp and runs in all major Lisp implementations on all major platforms. Its source code is frequently released to the public under the GNU General Public License.

## 1.1 Getting started

Please go to <http://arti.vub.ac.be/b2d1/> and follow the instructions for setting up a Lisp environment, configuring Babel2 and testing the Babel2 installation.

## 1.2 Overview of Babel2

The file system structure of Babel2 consists of five important subdirectories:

**systems** contains implementations of our core technologies:

fcg : Fluid Construction Grammar

irl : Incremental Recruitment Language

experiment-framework : for scripting of language games. Provides abstract classes such as **experiment**, **agent** and **world**. It also contains the base classes for learning. (see Chapter 4)

tasks-and-processes : A module that provides a way to organize and run multiple interdependent smaller tasks (called processes). It provides a basic best-first search to handle ambiguity when a process returns multiple options. (see Chapter 6)

monitors : a monitoring system for understanding the dynamics of experiments and obtaining scientific measurements (see Chapter 2)

web-interface : a web interface for visualizations.

utils : a collection of general utilities (see Chapter 3)

test-framework : unit tests for Babel2 components and experiments (Chapter 8)

**libraries** contains external libraries needed to run Babel2.

**experiments** contains the actual experiments. The reason to do them within Babel2 itself is the need for tight integration between the Babel2 core components and the experiments as well as the opportunity for sharing ideas and technological advancements between Babel2 users.

Our actual experiments are not part of the Babel2 release.

**sharing** contains other technologies such as networks, construction dependencies, interfaces to robots or databases, specific learning operators, IRL primitives, etc. These building blocks complement the core technologies found in the *systems* folder when setting up experiments.

**examples** is a collection of didactic demonstrations and tutorials that illustrate how components of Babel2 can be used. We will list a few of them in the remainder of the Babel manual.

---

## 2 Utilities

---

The *systems/utils/* folder contains a big variety of functions that were at some point considered useful in one or the other way (and many of them are frequently used). Here we will not list all of them but describe a few concepts found in the `:utils` system.

### 2.1 Copying Objects

Alternative hypothesis are processed in parallel. As for each alternative the agent's state will deviate from the state at the branching point, a distinct copy of the relevant state is maintained for each branch. To facilitate custom, experiment specific implementations of parts of the state, a generic copy interface is provided which should be implemented for each new data type that represents some dynamic part of the agent's state.

#### 2.1.1 *generic function* **copy-object** *object*

---

<b>description</b>	Returns a copy of an object. Although this is the method that is called by the FCG framework to copy stuff, you will normally not implement this function but <code>copy-object-content</code> (see below) for your classes.
<b>object</b>	The thing to copy.
<b>default implementation</b>	There are default implementations for atomic objects such as numbers and symbols that just return the value. Strings are copied with <code>copy-seq</code> . There is a default implementation for lists that calls <code>copy-object</code> on every element of the list and collects the results.

There is an implementation for `(object t)` that creates an instance of the class of `object` and calls `copy-object-content` to fill that new instance with the contents of `object`:

```
(defmethod copy-object ((object t))
  (let ((copy (make-instance (class-of object))))
    (copy-object-content object copy)
    copy))
```

#### 2.1.2 *generic function* **copy-object-content** *source destination*

---

description	<p>The function that is called by <code>copy-object</code> to do the work of copying the contents of <code>source</code> to <code>destination</code>. There are implementations for nearly all classes that are part of the FCG framework. If you add a new class and for example want to use that class as a part of a task, you will have to implement this method.</p> <p>It is up to the developer to decide what is copied and what not. Normally it is assumed that <code>copy-object</code> returns a deep copy but this might often be less efficient.</p>
source	The object to copy.
destination	The object to fill. Normally a newly created instance of the class of <code>source</code> .
example	<pre>(defmethod copy-object-content ((source rule-set)                                (destination rule-set))   (setf (slot-value destination 'type) (rule-set-type source))   (setf (rules destination) (copy-list (rules source)))   (setf (left-bins destination)         (mapcar #'copy-seq (left-bins source)))   (setf (right-bins destination)         (mapcar #'copy-seq (right-bins source))))</pre>
method combination	<p>This generic function uses the custom method combination <code>call-all-applicable-methods</code>. When <code>copy-object-content</code> is called, not only the most specific applicable method but all applicable methods are called:</p> <pre>(define-method-combination call-all-applicable-methods ()   ((methods () :required t))   '(progn ,(loop for method in methods                  collect '(call-method ,method))))</pre> <p>This is very useful in such a case:</p> <pre>(defclass A () ((a :accessor a :initarg :a))) (defclass B () ((b :accessor b :initarg :b))) (defclass C (A B) ())  (defmethod copy-object-content ((source A) (destination A))   (print "copy slots of class A")   (setf (a destination) (copy-object (a source))))  (defmethod copy-object-content ((source B) (destination B))   (print "copy slots of class B")   (setf (b destination) (copy-object (b source))))  (copy-object object)</pre>

The output is:

```
"copy slots of class A"
"copy slots of class B"
```

The standard method combination only would have called the `copy-object-content` method for class A (the first super class of C).

## 2.2 Blackboards

Cognitive processes in the Babel framework share their data using a blackboard architecture.

### 2.2.1 *structure* **blackboard**

---

description	A “blackboard” is a set of “labeled” data “fields”. Such a field could be a rule set, an utterance, a meaning, etc.
slot <code>data-fields</code>	( <code>data-fields</code> :type list :initform nil) An association list consisting of ( <code>label</code> . <code>value</code> ) pairs.
copying blackboards	Blackboards are copied by calling <code>copy-object</code> (see section 2.1.1) on every field value. So you have to make sure that the <code>copy-object-content</code> method is implemented for all the classes that you store in a blackboard.

### 2.2.2 *generic function* **fields** *blackboard*

---

description/ default implementation	Returns a list containing the field labels of all fields of a blackboard.
<code>blackboard</code>	A <code>blackboard</code> instance.

### 2.2.3 *generic function* **field?** *blackboard label*

---

description/ default implementation	Whether a field exists in <code>blackboard</code> . Returns two values. The first one returns <code>t</code> only when there is a field with the given label in the blackboard. The second one returns <code>t</code> if the first one is <code>t</code> and if there is a non-nil value attached to the field.
<code>blackboard</code>	A <code>blackboard</code> instance.
<code>label</code>	A symbol that labels the field.

### 2.2.4 *generic function* **add-data-field** *blackboard label initial-value*

---

description/ default implementation	Adds a data field to a blackboard. This has to be done explicitly before a field can be read or written. Additionally, you will get an error if the field is already present in the blackboard.
-------------------------------------	---

<code>blackboard</code>	A blackboard instance.
<code>label</code>	A symbol that labels the field.
<code>initial-value</code>	A initial value for that field.
<code>example</code>	<code>(add-data-field *my-task* 'topic nil)</code>

### 2.2.5 *generic function* **get-data** *blackboard label*

---

description/ default implementation	Returns the value of a data field for a given label. Throws an error if the field does not exist.
<code>blackboard</code>	A blackboard instance.
<code>label</code>	The data field.

### 2.2.6 *generic function* **find-data** *blackboard label*

---

description/ default implementation	Returns the value of a data field for a given label. Does <i>not</i> throw an error but just returns nil if the entry is not found.
<code>blackboard</code>	A blackboard instance.
<code>label</code>	The data field.

### 2.2.7 *generic function* **set-data** *blackboard label data*

---

description/ default implementation	Writes some data to a data field. Throws an error if the field does not exist.
<code>blackboard</code>	A blackboard instance.
<code>label</code>	The data field.
<code>data</code>	A new value for that field.

### 2.2.8 *generic function* **remove-data** *blackboard label*

---

description/ default implementation	Removes both the data and the data-field from the blackboard.
<code>blackboard</code>	A blackboard instance.
<code>label</code>	The data field.

## 2.3 Trees

We provide very basic abstractions for creating and maintaining tree-like datastructures.

### 2.3.1 *structure* **node**

---

description	A node contains a parent (which is again a node) and children which is a list of nodes.
slot <b>parent</b>	(parent nil) Contains the parent of this node. If it is the top of the tree it contains the dummy-top-node (see below).
slot <b>children</b>	(children nil :type list) A list of children of this node. Of course this can be nil if it is a leaf.

### 2.3.2 *structure* **dummy-top-node**

---

description	A dummy data-type to be able to check for the root (top) of the tree. The actual top has this as parent.
-------------	--

### 2.3.3 *structure* **mtree**

---

description	The actual tree abstraction. Contains all of the nodes and a reference to the actual root.
slot <b>nodes</b>	(nodes nil :type list) A list of nodes containing all nodes of the tree.
slot <b>top</b>	(top (make-dummy-top-node) :type node) The actual root (or top) of the tree. Although it is initialised as dummy-top-node it should become the actual root as soon as one node is added.

### 2.3.4 *generic function* **has-parent?** *node*

---

description/ default implementation	Returns true if there is a parent which is not the dummy-top-node.
<b>node</b>	A node.

### 2.3.5 *generic function* **traverse** *mtree func &key from*

---

description/ default implementation	Traverses the mtree in a depth-first fashion calling func on every node. If from is given (which should be a node in the tree) it will not start from the top but will start from that node.
<b>mtree</b>	A tree.



- func** A function which takes one parameter which has to be a node.
- from** A node from which to start. If not given the top of the tree is taken.

### 2.3.6 *generic function* **leaf?** *node*

---

- description/ default implementation Returns true if the node is a leaf, which simply means it has no children.
- node** A node.

### 2.3.7 *generic function* **top?** *node*

---

- description/ default implementation Returns true if the node is the top of a tree, which simply means it has dummy-top-node as parent.
- node** A node.

### 2.3.8 *generic function* **leaves** *mtree*

---

- description/ default implementation Returns a list of all leaves of the tree. This is thus that subset of the nodes that have no children.
- tree** A tree.

### 2.3.9 *generic function* **add-node** *mtree node Ekey parent*

---

- description/ default implementation Adds the given node to the tree. Although it can only do so as a leaf. So it cannot add a node in the middle of the tree or at the top if there already is a top. Therefore you have to supply :parent when this is not the first node added to the tree. The parent has to be valid.
- tree** A tree.
- node** The new node that should be added to the tree.
- parent** The node in the tree to which you wish to hang the new node.

### 2.3.10 *generic function* **replace-node** *mtree old-node new-node*

---

- description/ default implementation replaces the old node with the new node. It can only replace leaf. Of course the old-node has to be found in the tree.
- tree** A tree.
- old-node** The old node to be replaced.
- new-node** The node that should replace the old one.

---

**2.3.11** *generic function* **depth** *node*

---

description/ default implementation	Returns the depth of the given node in the tree from which it is part. It is not required to pass the tree itself.
<b>node</b>	The node form which you wish to know its depth.

---

## 3 Monitoring Experiments

---

The Babel framework contains extensive monitoring and debugging mechanisms that help developers and users to

- print comprehensible traces of the execution of specific components on the screen (e.g. process execution, learning framework, games ...),
- raise warnings or take other actions when specific events happen,
- record and store arbitrary numeric and non-numeric values for each interaction,
- print these data to the screen or write them to a file
- plot these data in real-time using gnuplot or generate graphs offline.

This chapter describes the general monitoring mechanisms, the built-in monitors classes that come with the monitor system, and helps you to use monitors for your own experiments. The monitoring system is defined in directory *systems/monitors*.

### 3.1 Events, Monitors and Notifications

The main motivation for implementing the monitor system was to separate the source code that does something (running an experiment, running a production task, repairing something, etc) from source code for debugging and data collection. Thereto, a set of “*monitors*” that subscribe to a set of “*events*” are defined. In some source code that does something, the monitoring system is “*notified*” for a specific event. The “*active*” monitors “*handle*” that event. Here is an example:

```
(in-package :monitors)

(define-event run-test-finished (result number))

(defun run-test ()
  (let ((result (random 10)))
    ;; do something
    (notify run-test-finished result)
    result))

(define-monitor print-test-result :documentation "prints the result of run-test")

(define-event-handler (print-test-result run-test-finished)
  (format t "~%run-test finished. Result: ~a" result))
```

The function `run-test` does something, amongst other things calculating the variable `result`. Let's assume a developer wants to print the result of function `run-test` whenever it finishes. There is an event `run-test-finished` defined. At the end of function `run-test`, the monitoring system is notified on that event, passing the value of variable `result` as a parameter. Then there is the definition of monitor `print-test-result`, together with an event handler that handles the event for that monitor by printing the result. However, if you run `run-test` like it is, nothing will happen. The monitor needs to be activated:

```
(activate-monitor print-test-result)
```

Only active monitors get notified on their events. This helps you in deciding which information to print, record, plot etc. In this case, the monitor will print:

```
MONITORS> (run-test)
```

```
run-test finished. Result: 6
```

This seems to be a lot of code to just print the result of function `run-test`. However, you could easily add more monitors that handle the same event:

```
(define-monitor warn-when-test-result-is-7
  :documentation "warns when function run-test returns 7")

(define-event-handler (warn-when-test-result-is-7 run-test-finished)
  (when (= result 7) (warn "function run-test returned 7!!!!")))
```

Or there could be another monitor that plots the result of `run-test` in a graph. The benefit of using the monitor system is that you do not clutter up your functions with code that does not contribute to the computation of the function's result. Despite that, you get a lot of things “for free”, as you will see in the remainder of this chapter.

The rest of this section describes the macros that define events and monitors, that notify on events, and that activate monitors. If you are interested in the classes and methods that these macros are based on, then you might want to read section 3.3 first.

### 3.1.1 macro `define-event` *id* *Rest parameters*

---

<b>description</b>	Defines an event. This needs to be done before any notification or handler on that event can be defined. Typically, such a definition is put directly before the definition of the function that notifies on that event.
<b>id</b>	The id for that event. A symbol.
<b>parameters</b>	The parameters with that the event is notified. These are lists with a variable name and the type of the parameter. This information is used to check that you pass the right values with a notification. In a handler you can rely on the passed parameters being of these types.

```
example (define-event game-finished (result symbol)
        (speaker fcg-agent) (hearer fcg-agent))
```

There could be a notification for this event at the end of a game. The first parameter would have to be a symbol and the other two instances of (derivates of) `fcg-agent`.

### 3.1.2 macro `define-monitor` *id* *key class*

---

description	Defines a monitor. This should happen before any event handlers for that monitor are defined. Typically, monitors and their handlers are in the same source file.
id	An id for the monitor. Typically starts with a verb describing the purpose of the monitor, e.g. <code>print-</code> , <code>trace-</code> , <code>record-</code> , <code>plot-</code> etc.
:class	The name of the monitor class. As it will be explained in the next section 3.2, there is a big variety of monitor subclasses. The macro <code>define-monitor</code> is used to instantiate all of them. If <code>:class</code> is not provided, the monitor base class <code>monitor</code> is used.
:documentation	This should be a short text that helps other users to guess what the monitor does.
other keyword parameters	Depending on <code>:class</code> , other keyword parameters are allowed. These are described in detail in section 3.2.
example	<pre>(define-monitor print-game-result   :documentation "prints the result of a game")</pre> <p>This defines a monitor based on class <code>monitor</code> (because no <code>:class</code> parameter was provided).</p>

### 3.1.3 macro `define-event-handler` (*monitor-id event-id*) *body body*

---

description	Installs a method that handles a specific event for a monitor (or a list of monitors).
monitor-id	The id of the monitor that handles the event. Can be also a list of multiple monitor ids. The event is then handled in the same way for these monitors.
event-id	The event to handle.
body	An expression that handles the event. You can access the parameters using the variable names from the event definition. If you don't know the event parameters, use function <code>print-all-monitors</code> to get the definition. The monitor instance can be accessed using the variable <code>monitor</code> (see also the example below).

```
example (define-event-handler (print-game-result game-finished)
      (format t "~%Game finished. Result: ~a" result))
```

In the background, this method will be created:

```
(defmethod handle-game-finished-event
  ((monitor monitor) (monitor-id (eql 'print-game-result))
   (event-id (eql 'game-finished)) (result symbol)
   (speaker fcg-agent) (hearer fcg-agent))
  (format t "~%Game finished. result: ~a" result))
```

This basically makes a method that uses all the parameters of the event definition as method parameters.

### 3.1.4 macro **notify** *event-id* *rest parameters*

---

**description** Notifies the monitor system that an event happened. For all monitors that can handle the event and that are active, the event handler is called. The order in which the handlers are called is not specified.

**id** The id of the event

**parameters** Values for parameters of the event. These need to be as specified in the definition of the event. You will get an error if you pass the wrong number of parameters or if the passed values don't match the parameter types of the event definition.

```
example (defun run-game ()
      ;; ... do something
      (notify game-finished result speaker hearer))
```

Don't hesitate to use **notify** statements in even time-critical code. As you can see below in the macro expansion, it only loops over the active monitors of an event and calls the corresponding handler methods. When there are no active monitors for that event, nothing happens. Please refer to section 3.3 for more details.

```
(dolist (monitor-id (active-monitors (get-event 'game-finished)))
  (let ((monitor (get-monitor monitor-id))
        (handle-game-finished-event monitor monitor-id
                                     'game-finished result speaker hearer)))
```

### 3.1.5 macro **activate-monitor** *id* *Optional active*

---

**description** Activates a monitor.

**id** The id of the monitor.

**active** Defaults to **t**. When **nil**, the monitor is deactivated. This can be used to write functions that activate/ deactivate a set of monitors together.

### 3.1.6 macro **deactivate-monitor** *id*

---

---

description Deactivates a monitor.  
**id** The id of the monitor.

### 3.1.7 *macro toggle-monitor id*

---

description Toggles (inverses) the activation of a monitor.  
**id** The id of the monitor.

### 3.1.8 *macro toggle-monitors ℰrest ids*

---

description Toggles (inverses) the activation of a list of monitors.  
**ids** A list of monitor ids

### 3.1.9 *macro print-all-monitors*

---

description Prints for all defined monitors their type, whether they are active, the documentation and the source file they are defined in (if possible).

### 3.1.10 *macro print-all-events*

---

description Prints for all defined events the parameter list and the source file they are defined in (if possible).

## 3.1.11 Pre-defined Events

The monitoring system is designed to monitor experiments. Many of the built-in monitor classes do something at the end of interactions, series, or batches (see section 4.2.3). For example updating a plot, writing data to a file or printing something to the screen. They “know” that for example an interaction finished because the experiment framework notifies on these built-in events (section 4.2.3):

#### 3.1.11.1 *monitor event interaction-started (experiment t)*

---

description Notified at the beginning of an interaction.  
**experiment** The current experiment (contains the interaction number). The type in this definition is **t** is because the class **experiment** is not known yet in the context of the monitor system.

#### 3.1.11.2 *monitor event interaction-finished (experiment t) (interaction-number fixnum)*

---

---

description	Triggered after each interaction.
experiment	The current experiment.
interaction-number	The current interaction number of the experiment.

#### 3.1.11.3 *monitor event* **series-finished** (*series-number fixnum*)

---

description	At the end of a series.
series-number	The number of the finished series (starting from 1).

#### 3.1.11.4 *monitor event* **batch-finished** (*experiment-class string*)

---

description	Notifies that a batch finished.
experiment-class	The name of the experiment class.

#### 3.1.11.5 *monitor event* **reset-monitors**

---

explanation	You can notify on this event in order to reset the monitor system.
-------------	--

These events are also very handy to define event handlers for your own monitors. For example with the event `interaction-finished` you get the experiment as a parameter and can record some measures of the rule-sets of the agents of your population.

## 3.2 Built-in Monitor Classes

You will rarely define monitors of the base class `monitor`. Instead, you would choose one of the built-in classes that provide a big variety of additional functionalities. Or one of the classes that you defined yourself (see section 3.3.3). Figure ?? gives an overview over the hierarchy of built-in monitor classes.

The rest of this section describes how to use them. Although the different kinds are referred to with their class name, the slots of these classes are not described here (you normally also don't get in touch with instances of monitors), as monitors of all classes have to be defined with the `define-monitor` macro (see previous section).

### 3.2.1 Printing Program Traces

The most common debugging technique when programming lisp is to add `format` statements to the functions and comment them out when they are not needed anymore. However, this can become quite cumbersome if you want to print different stuff in different situations. The built in `trace`



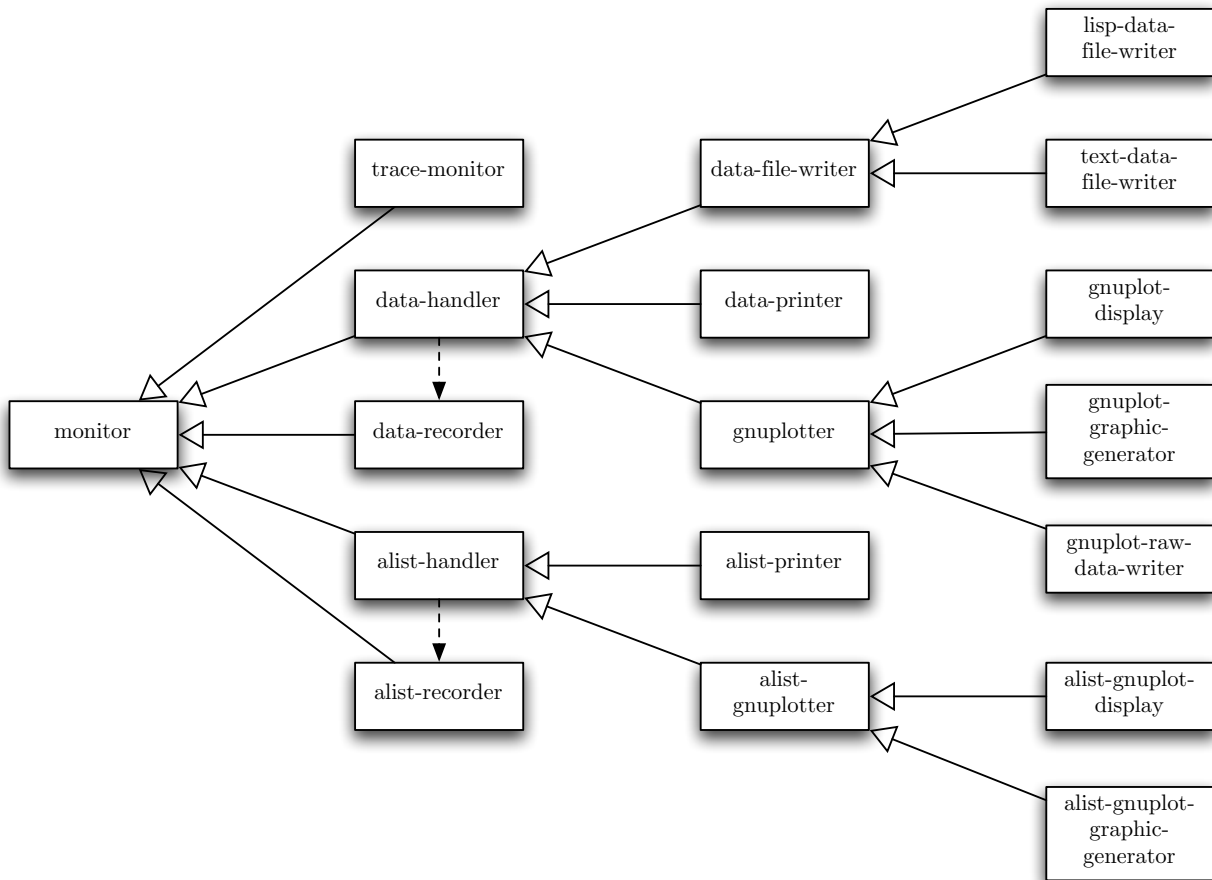


Figure 3.1: The class hierarchy of the built-in monitor classes

*monitors*” allow you to toggle printing of information by toggling monitor activation. Additionally, they can buffer text messages and print them later on demand.

### 3.2.1.1 *monitor class* **trace-monitor** *monitor*

description	A monitor for printing text messages to the screen. It is also able to buffer the text (see below).
example	<pre>(define-monitor trace-run-test :class 'trace-monitor   :documentation "Traces the execution of run-test.")</pre>
message handlers	In message handlers, use the method ( <code>monitor-stream monitor</code> ) as the stream to write the text message to. This makes it possible to buffer the messages. <pre>(define-event-handler (trace-run-test run-test-finished)   (format (monitor-stream monitor)     "%run-test finished. Result: ~a" result))</pre>

---

### 3.2.1.2 *function* **activate-buffering-of-trace-monitors**

---

**description** Switches on buffering. Messages for trace monitors are not printed immediately anymore. Instead, they are kept in a buffer (and can be printed later).  
This handy feature makes it possible to get to a lot of different traces after something happened without having to print them to the screen beforehand.

**example** MONITORS> (activate-buffering-of-trace-monitors)  
NIL  
MONITORS> (progn (run-test) (run-test))  
NIL  
MONITORS> (print-buffered-messages-of-trace-monitors)

in the middle of run-test!  
run-test finished. Result: 7  
in the middle of run-test!  
run-test finished. Result: 9

NIL

(for the printing of buffered messages see below)

---

### 3.2.1.3 *function* **print-buffered-messages-of-trace-monitors**

---

**description** Prints all the buffered messages of all trace monitors.

---

### 3.2.1.4 *function* **clear-trace-monitors-buffer**

---

**description** Clears the buffer for the trace monitors. Does not change activation state. In order to avoid the buffer to become very large, it is recommended to call this function often, for example before each interaction.

---

### 3.2.1.5 *macro* **deactivate-buffering-of-trace-monitors**

---

**description** Deactivates the buffering for trace monitors.

---

### 3.2.1.6 *generic function* **print-with-overline** *monitor character message*

---

**description/ default implementation** Prints a text message in a trace monitor with an overline of the same length as the message (see example below).

**monitor** An instance of `trace-monitor`.

**character** The character to use for printing the overline.

**message** The message itself. Should not contain line breaks.

```
example (define-event-handler (trace-language-game interaction-started)
      (print-with-overline monitor #\=
        (format nil "= Started interaction ~a."
          (interaction-number experiment))))
```

The resulting output:

```
=====
= Started interaction 28.
```

### 3.2.2 Recording Data

One of the purposes of running experiments is to output quantitative measures for the emergence of some feature of language, occurrences of some event, properties of the population, and so on. These measures could be plotted to a graph, written to a data file or printed to the screen. One such a measure could be for example communicative success.

To avoid that all plotting, data-writing and printing monitors which want to output communicative success have to collect that measure for themselves, there is the separation of monitors that record data (class `data-recorder`, this section) and monitors that output these recorded data (next sections 3.2.3 and 3.2.4). For example there is only one monitor that records the communicative success. All monitors that output communicative success in some way use the recorded data of that monitor.

#### 3.2.2.1 *monitor class data-recorder monitor*

description	A monitor that records a single value for each interaction. If you run a batch of series, it also keeps the values of each series. For example after a <code>(run-batch my-experiment 100 3)</code> , the monitor will have recorded $100 \times 3$ values. The values are recorded from message handlers (see below). If the recorded values are numerical, then the data recorder also stores values that are averaged over a window.
example	<pre>(define-monitor record-success   :class 'data-recorder :default-value 0 :average-window 100   :documentation "records whether the game was a success")</pre>
:default-value	The default value to record. Default: 0. If the data recorder did not receive any other value during an interaction, this value will be recorded.
:average-window	The average values will be computed as the arithmetic mean of the last <code>:average-window</code> values. Default: 100. The computation is fast as it does a recursive update after $2 \times$ <code>:average-window</code> interactions.

recording values Values are recorded from message handlers. An example:

```
(define-event-handler (record-success game-finished)
  (if (eql result 'succeed)
      (record-value monitor 1)
      (record-value monitor 0)))
```

In the handler, the function `record-value` is used to get the next value into the data recorder. If this function is called multiple times within an interaction, only the last recorded value is stored.

Alternatively, you can use `incf-value` when you want to sum the values of several events during one interaction:

```
(define-event-handler (some-monitor some-event)
  (incf-value monitor some-value))
```

### 3.2.3 Outputting Recorded Data

All monitors that somehow output data recorded by data recorders are derived from this class:

#### 3.2.3.1 *monitor class data-handler monitor*

---

**description** An abstract class that provides functionality to access data of data recorders.

**example**

```
(m:define-monitor my-data-handler :class 'data-handler
  :data-sources '(record-utterance
                  (average record-success)
                  record-number-of-lex-stem-rules))
```

This monitor will output the recorded values of the data recorders `record-utterance`, `record-success` and `record-number-of-lex-stem-rules`.

However, it will not make sense to define a monitor of class `data-handler`, as it does not output anything. You will rather use one of the derived classes from below or the next section.

**:data-sources** A list of data recorder ids. These have to be defined before a data-handler on them can be defined. If you want to access the averaged values of a data recorder, you write `(average monitor-id)`, as in the example above.

**activation** When you activate any monitor that is derived from `data-handler`, all the data recorders that are specified in `:data-sources` will automatically become activated.

#### 3.2.3.2 *monitor class data-printer data-handler*

---

**description** A monitor for printing recorded data to the screen.

```
example (m:define-monitor my-experiment-printer :class 'data-printer
         :data-sources '(record-utterance
                        (average record-success)
                        record-number-of-lex-stem-rules))
         :format-string "%~d: ~30a ~,2f ~,2f"
         :interval 50)
```

This monitor prints the interaction number, the utterance, the average communicative success and the lexicon size to the screen:

```
MY-EXPERIMENT> (run-interactions 500 :reset t)
```

```
50: (fulele napimu xifibu)          0.08 13.00
100: (monalu)                      0.10 20.60
150: (sopogo)                      0.12 26.40
200: (zaradu fulele)               0.19 32.20
250: (fenowu foxapu)              0.43 35.20
300: (vazoro kesoku)              0.64 38.40
350: (wunoze)                     0.75 40.20
400: (raneni napimu)              0.81 42.20
450: (giwene xifibu)              0.83 43.40
```

**:data-sources** See section 3.2.3.1.

**:format-string** A format string as in `format`. The first argument to it is the interaction number. The other arguments are the current values of the data recorders a specified in `:data-sources`.

**:interval** How often the information is printed. Default: 1.

### 3.2.3.3 *monitor class* **data-file-writer** *data-handler*

---

**description** An abstract class for writing recorded data to a file. You can not define monitors of this class. See the two derived classes below instead.

### 3.2.3.4 *monitor class* **text-data-file-writer** *data-file-writer*

---

**description** Writes recorded data in columns to a text file, which can be imported for example in Excel. For each data source there is for each series a separate column.

```

example (define-monitor write-success-and-lexicon-size-to-file
         :class 'text-data-file-writer
         :data-sources '((average record-success)
                        record-number-of-lex-stem-rules)
         :file-name (make-pathname :directory '(:absolute "tmp")
                                   :name "success-and-lexicon"
                                   :type "dat")
         :add-time-and-experiment-to-file-name t
         :column-separator " "
         :comment-string "#")

```

The text file is written at the end of a batch:

```
MY-EXPERIMENT> (run-batch 500 3)
```

```

monitor write-success-and-lexicon-size-to-file:
  wrote /tmp/2007-03-29-16-25-naming-game-success-and-lexicon.dat
NIL

```

The generated file */tmp/2007-03-29-16-25-naming-game-succes-and-lexicon.dat* looks like this:

```

# This file was created by the
# text-data-file-writer WRITE-SUCCESS-AND-LEXICON-SIZE-TO-FILE.
# The columns are:
# interaction number
# RECORD-SUCCESS-0
# RECORD-SUCCESS-1
# RECORD-SUCCESS-2
# RECORD-NUMBER-OF-LEX-STEM-RULES-0
# RECORD-NUMBER-OF-LEX-STEM-RULES-1
# RECORD-NUMBER-OF-LEX-STEM-RULES-2
0.0 0.0 0.0 0.0 0.4 0.4 0.4
1.0 0.0 0.0 0.0 0.4 0.8 0.8
2.0 0.0 0.0 0.0 0.8 1.2 1.2

...
498.0 0.9 0.92 0.88 42.8 39.6 47.2
499.0 0.9 0.92 0.88 42.8 39.6 47.2
500.0 0.9 0.92 0.89 42.8 39.6 47.2

```

```

:data-sources See section 3.2.3.1.

:file-name The name of the file to generate. Should be a pathname.

add-time-and- When t (default), the current date and time as well as the name of the
experiment-to- experiment class are added to the file name.
file-name

:column-separator The string to separate values. Default: " ".

:comment-string How to start comment lines. Default: "#".

```

### 3.2.3.5 monitor class `lisp-data-file-writer` *data-file-writer*

---

description	Writes the recorded data as a single s-expression to a file. This can be handy if you later want to read the data back to lisp.
example	<pre>(define-monitor write-success-and-lexicon-size-to-file   :class 'lisp-data-file-writer   :data-sources '((average record-success)                  record-number-of-lex-stem-rules)   :file-name (make-pathname :directory '(:absolute "tmp")                             :name "success-and-lexicon"                             :type "lisp")   :add-time-and-experiment-to-file-name nil)  The resulting text file can be read back with (with-open-file (stream #P"/tmp/success-and-lexicon.lisp")   (defparameter data (read stream)))</pre>
:data-sources	See section 3.2.3.1.
:file-name	The name of the file to generate. Should be a <code>pathname</code> .
add-time-and-experiment-to-file-name	See section 3.2.3.1.

### 3.2.4 Plotting Data with Gnuplot

The probably most prominent feature of the monitoring system is to produce graphs from recorded data using gnuplot.

#### 3.2.4.1 *monitor class gnuplotter data-handler*

description	An abstract class for plotting data with gnuplot. Classes that derive from this one define how the resulting graph is displayed or written.
example	<pre>(define-monitor plot-success-and-lexicon-size   :class 'CLASS-DERIVED-FROM-GNUPLOTTER   :data-sources '((average record-communicative-success)                  record-average-number-of-words)   :caption '("communicative success" "lexicon size")   :minimum-number-of-data-points 500   :x-label "number of interactions"   :y1-label "communicative success"   :y2-label "lexicon size"   :error-bars t :draw-y1-grid nil   :line-width 2   :use-y-axis '(1 2)   :y1-max 1 :y1-min 0   :y2-min 0 :y2-max nil   :colors *great-gnuplot-colors*   :key-location "right bottom")</pre>

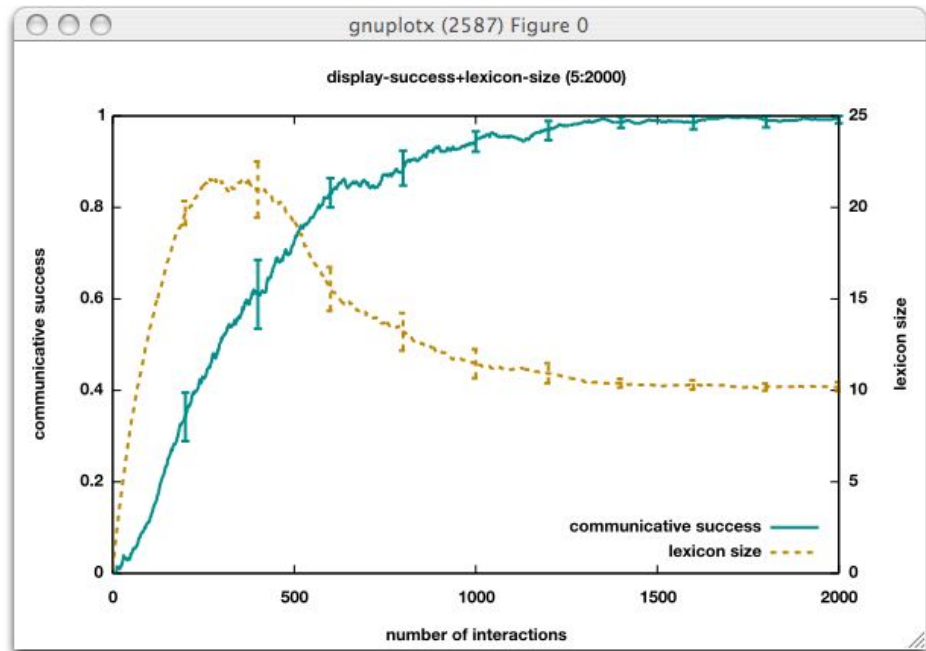


Figure 3.2: An example for a real-time plot with a `gnuplot-display` monitor

Depending on what class `CLASS-DERIVED-FROM-GNUPLOTTER` is, the result might look as in figure 3.2 or figure 3.3.

<code>:data-sources</code>	See section 3.2.3.1.
<code>:caption</code>	Captions for each data source for generating a graph legend. Should be list of strings with one caption per data source. When no captions are provided, the names of the data recorders are used.
<code>:minimum-number-of-data-points</code>	The “sample rate”. For at least that many points on the x-axis there will be a value plotted. Smaller numbers here result in higher speed and smaller graphic files, bigger numbers give a higher resolution. Default: 500.
<code>:x-label</code>	Labels for the x-axis, left y-axis and right y-axis. Default: <code>nil</code> .
<code>:y1-label</code>	
<code>:y2-label</code>	
<code>:error-bars</code>	When <code>t</code> , error bars are added to the graph. Default: <code>nil</code> .
<code>:draw-y1-grid</code>	When <code>t</code> , thin horizontal lines are drawn at the ticks of the left or right axis. Default: <code>nil</code> .
<code>:draw-y2-grid</code>	
<code>:line-width</code>	The width of the lines for curves and errorbars. Default: 2.
<code>:use-y-axis</code>	A list of values 1 or 2 for each data source specifying whether to scale the data with the left y-axis (1) or the right (2). Default: scale all with the left y-axis.



---

<code>:y1-min</code>	<code>:y1-max</code>	Minimum and maximum values for the left and right y-axis. When not provided, the data is automatically scaled to fit (which is often better).
<code>:y2-min</code>	<code>:y2-max</code>	
<code>:colored</code>		Whether the graph uses colors for different data lines nor not (only has effect on some gnuplot terminals). Default <code>t</code> .
<code>:colors</code>		A list of colors (list of strings, e.g. <code>'("red", "green")</code> ) to be used by the different graph lines. Defaults to <code>*great-gnuplot-colors*</code> .
<code>:key-location</code>		Where to put the graph legend. Possible values are for example <code>"off"</code> (for no legend) <code>"below"</code> , <code>"top right"</code> , etc. For more information, type <code>"help set key"</code> into gnuplot. Default: <code>"below"</code> .

### 3.2.4.2 monitor class `gnuplot-display` *gnuplotter*

---

`description` Plots the data in real-time into a window using gnuplot.

This requires the proper installation of a recent version of gnuplot and a configuration of your lisp environment so that it can find gnuplot. You can test whether your lisp/gnuplot integration works by loading the `:utils asdf` system and evaluating:

```
(utils:with-open-pipe
  (stream (utils:pipe-output "gnuplot" :args '("-persist")))
  (format stream "plot sin(x)")
  (finish-output stream))
```

This should open a window that shows a sinus plot.

`example`

```
(define-monitor display-success-and-lexicon-size
  :class 'gnuplot-display
  :documentation "Shows communicative success and lexicon size."
  :data-sources '((average record-communicative-success)
                 record-average-number-of-words)
  :caption '("communicative success" "lexicon size")
  :x-label "number of interactions"
  :y1-label "communicative success" :y2-label "lexicon size"
  :error-bars t :use-y-axis '(1 2)
  :y1-max 1 :y1-min 0 :y2-min 0 :key-location "right bottom")
```

When activating this monitor and for example running `(run-batch 2500 5)` a plot window such as in figure 3.2 will be updated every 50 interactions.

`:update-interval` How often the graph display will be updated. The smaller the value, the slower the whole thing. Default: 10 (very slow).

All other parameters are the same as in section 3.2.4.1.

### 3.2.4.3 monitor class `gnuplot-graphic-generator` *gnuplotter*

---

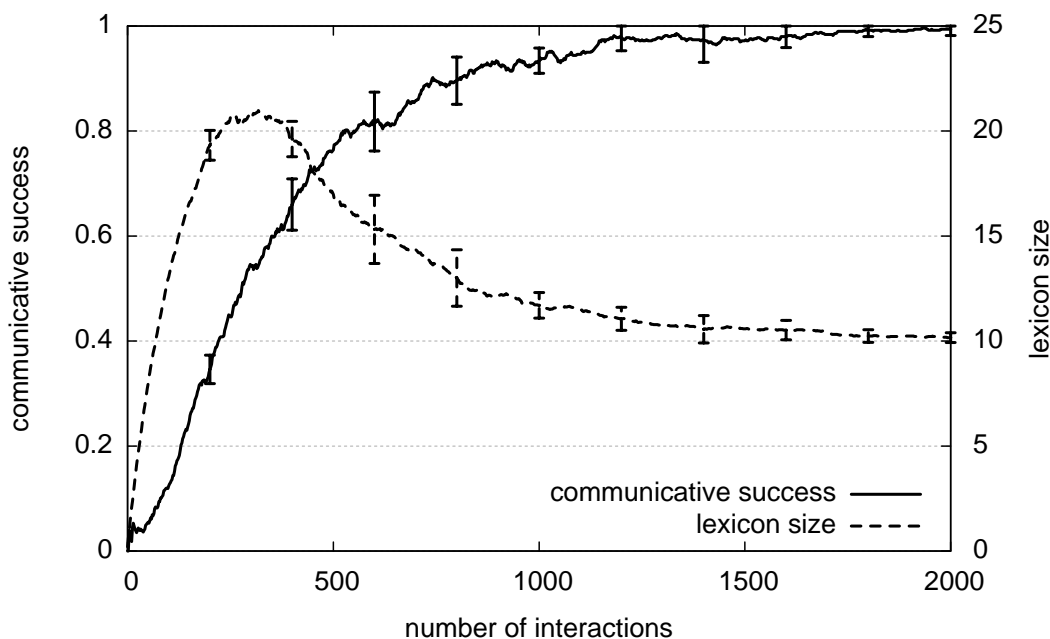


Figure 3.3: An example for a plot generated by a `gnuplot-graphic-generator` monitor

`description` Produces a graph file at the end of a batch. It is recommended to use such a graph for papers instead of saving the result of `gnuplot-display-monitors`, as they produce graphic files of much higher quality.

`example`

```
(define-monitor plot-success-and-lexicon-size
  :class 'gnuplot-graphic-generator
  :documentation "Plots communicative success and lexicon size"
  :graphic-type "pdf"
  :colored nil
  :add-time-and-experiment-to-file-name nil
  :file-name (make-pathname :directory '(:absolute "tmp")
                            :name "success-and-lexicon-size"
                            :type "pdf")
  :data-sources '((average record-communicative-success)
                 record-average-number-of-words)
  :caption '("communicative success" "lexicon size")
  :x-label "number of interactions"
  :y1-label "communicative success" :y2-label "lexicon size"
  :error-bars t :use-y-axis '(1 2) :key-location "right bottom"
  :y1-max 1 :y1-min 0 :y2-min 0 :draw-y1-grid t)
```

The resulting file `/tmp/success-and-lexicon-size.pdf` looks as in figure 3.3.

`graphic-type` Which gnuplot graphic driver to use. Should be one out of "postscript", "pdf", "svg" or "gif".

`:file-name` The file name of the graphic file to produce. Should be a pathname.

`:add-time-and-experiment-to-file-name` See section 3.2.3.4.  
 All other parameters are the same as in section 3.2.4.1.

#### 3.2.4.4 *monitor class* **gnuplot-display-and-graphic-generator** *gnuplot-display* *gnuplot-graphic-generator*

---

`description` A monitor that produces a real-time plot and generates a graphic file in the end.

As it derives from both of these classes, it takes all parameters that `gnuplot-display` and `gnuplot-graphic-generator` take.

#### 3.2.4.5 *monitor class* **gnuplot-file-writer** *gnuplot-graphic-generator*

---

`description` If you don't like the graphs that the `gnuplot-graphic-generator` makes, you can also use this monitor to write a complete gnuplot script (with data and plot commands) and later modify that script. The parameter `:gnuplot-file-name` specifies the file name of the script.

### 3.2.5 Recording and Plotting Lists of Data

Data recorders (section 3.2.2.1) allow to record one single value for each interaction. But sometimes one wants to look at the evolution of a list of values, for example the scores of some entities or the number of occurrences of events. Defining a data recorder for each of them would become cumbersome, especially if the number of values is not known beforehand. For this purpose, there are monitors for recording and processing lists of data.

#### 3.2.5.1 *monitor class* **alist-recorder** *monitor*

---

`description` Records averaged values for lists of (`symbol . value`) conses. Similar to a `data-recorder` (section 3.2.2.1), values are kept for each interaction of each series of a batch.

`example`

```
(define-monitor record-lexicon-of-first-agent-for-first-object
  :class 'alist-recorder
  :documentation "Records for the first agent the scores of all
                  words for the first object"
  :average-window 1)
```

`:average-window` The values will be averaged over the last `:average-window` values. Default: 100.

recording values    Values are recorded from event handlers. An example:

```
(define-event-handler
  (record-lexicon-of-first-agent-for-first-object
   interaction-finished)
  (loop with rules
    = ;; compute the rules of the first agent that have first
      ;; object of the world as meaning
    for rule in rules
    do (set-value-for-symbol monitor
        (intern (word rule))
        (rule-score rule))))
```

The function (`set-value-for-symbol monitor symbol value`) is used to store the rule score for each rule in the monitor, with `symbol` being the interned rule name. Each time you pass a value for a new symbol, a new list of recorded values is created. If you don't pass a value for some symbol during an interaction, the value 0 is recorded. Alternatively, you can use the function (`incf-value-for-symbol monitor symbol value`) to increase the current value for a symbol, starting in each interaction from 0.

### 3.2.5.2 *monitor class* **alist-handler** *monitor*

---

**description**    The base class for all monitors that do something with data recorded by a `alist-recorder`.

**:recorder**      The id of the `alist-recorder` to use. That recorder will become automatically activated whenever a monitor derived from `alist-handler` gets activated.

### 3.2.5.3 *monitor class* **alist-printer** *alist-handler*

---

**description**    Prints the values of an alist recorder after each interaction.

```
example (define-monitor print-lexicon-of-first-agent
         :class 'alist-printer
         :documentation "Prints for the first agent the scores of all
                        words for the first object"
         :recorder 'record-lexicon-of-first-agent-for-first-object
         :interval 100)
```

When this monitor is active, the output of a batch looks like this:

```
MY-EXPERIMENT> (run-batch *experiment* 1000 1)
```

```
100: vewiba: 0.50; sowape: 0.50;
200: vewiba: 0.50; sowape: 0.50;
300: vewiba: 0.50; sowape: 0.50;
400: vewiba: 0.60; sowape: 0.30;
500: vewiba: 0.80; sowape: 0.00; bofoxa: 0.10;
600: vewiba: 1.00; sowape: 0.00; bofoxa: 0.00; fapofa: 0.10;
700: vewiba: 0.90; sowape: 0.00; bofoxa: 0.00; fapofa: 0.00;
800: vewiba: 0.80; sowape: 0.00; bofoxa: 0.00; fapofa: 0.00;
900: vewiba: 0.90; sowape: 0.00; bofoxa: 0.00; fapofa: 0.00;
1000: vewiba: 0.80; sowape: 0.00; bofoxa: 0.00; fapofa: 0.10;
NIL
```

**:recorder** See section 3.2.5.2.

**:interval** The data is printed only every **:interval** interactions. Default: 1.

#### 3.2.5.4 monitor class **alist-gnuplotter** *alist-handler*

**description** The base class for plotting data recorded by an **alist-recorder** with gnuplot.

```
example (define-monitor plot-all-words-for-first-object
         :class 'CLASS-DERIVED-FROM-ALIST-GNUPLOTTER
         :documentation "Plots scores of all words for the first object"
         :recorder 'record-all-words-for-first-object
         :minimum-number-of-data-points 500
         :error-bars nil
         :key-location "below"
         :y-min 0 :y-max 1.05 :draw-y-grid t
         :y-label nil x-label nil
         :line-width 1 :draw-y-grid t
         :colors '("red" "blue" "black" "green" "gold"))
```

Depending on what **CLASS-DERIVED-FROM-ALIST-GNUPLOTTER** is, the result might look like in figure 3.4 or figure 3.5.

**:recorder** See section 3.2.5.2.

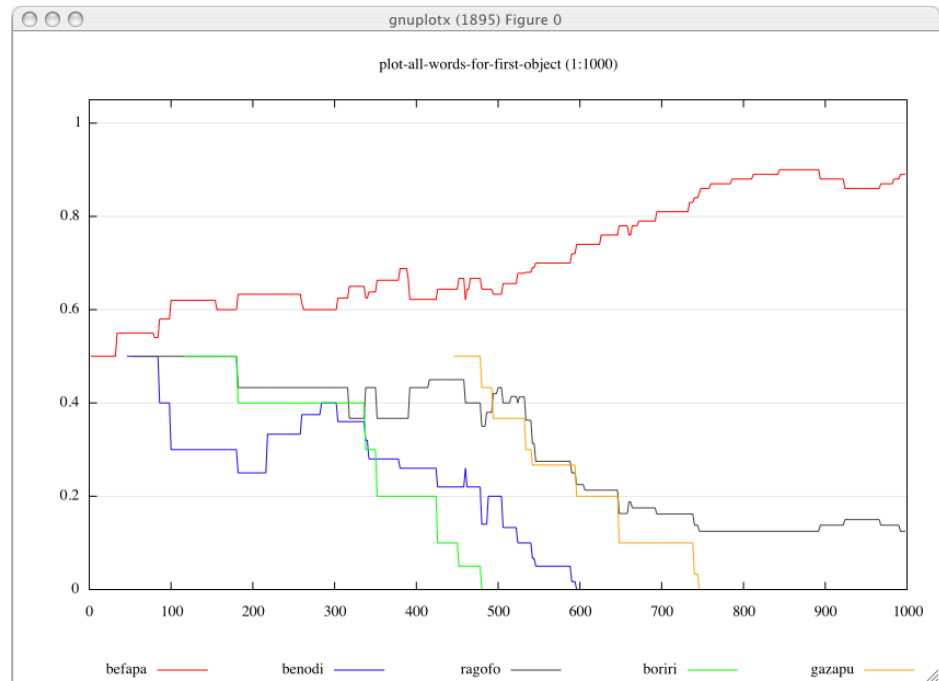


Figure 3.4: An example for a real-time plot with a `alist-gnuplot-display` monitor

<code>:minimum-number-of-data-points</code>	See section 3.2.4.1
<code>:errorbars</code>	
<code>:colors</code>	
<code>:line-width</code>	
<code>:key-location</code>	
<code>:y-min</code> <code>:y-max</code>	The minimum and maximum y values. When not provided, the graph is automatically scaled (which is often better).
<code>:draw-y-grid</code>	When <code>t</code> , thin horizontal lines are drawn at the height of the y ticks.

### 3.2.5.5 *monitor class* `alist-gnuplot-display` *alist-gnuplotter*

`description` Displays plots of data recorded by an `alist-recorder` in real-time.

`example`

```
(define-monitor display-all-words-for-first-object
  :class 'alist-gnuplot-display
  :documentation "Plots scores of all words for the first object"
  :recorder 'record-all-words-for-first-object
  :draw-y-grid t :y-max 1.05 :y-min 0
  :update-interval 25)
```

The resulting graph looks as in figure 3.4.

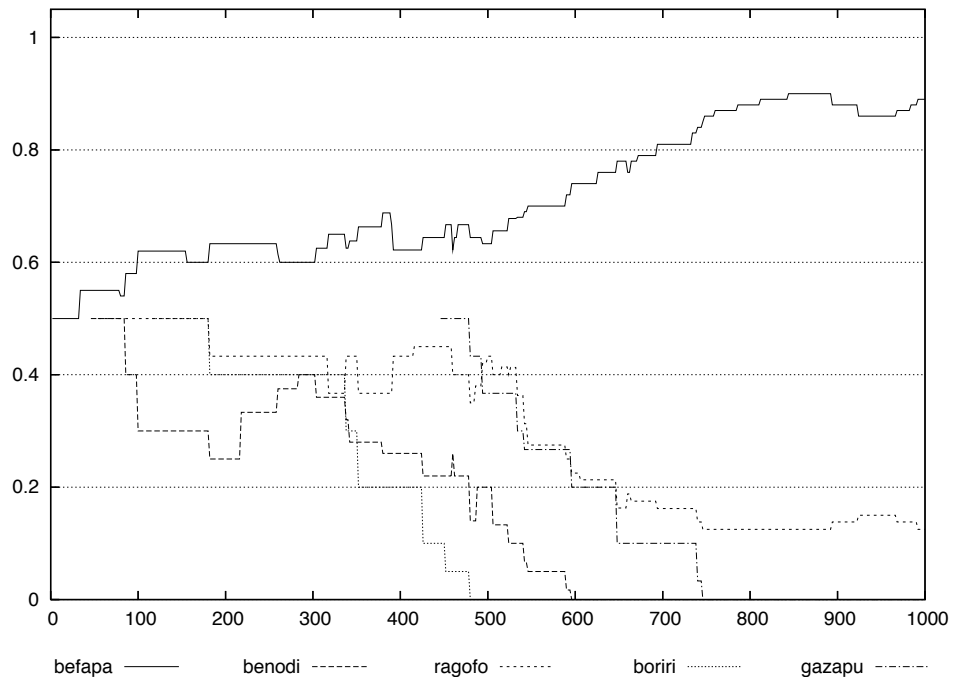


Figure 3.5: An example for a plot generated by a `alist-gnuplot-graphic-generator` monitor

`:update-interval` How often the display is redrawn. Default: 100.

All other parameters are as described in section 3.2.5.4.

### 3.2.5.6 *monitor class* `alist-gnuplot-graphic-generator` *alist-gnuplotter*

`description` Produces a graph file at the end of a batch. It is recommended to use such a graph for papers, as they are black and white.

`example`

```
(define-monitor plot-all-words-for-first-object
  :class 'alist-gnuplot-graphic-generator
  :documentation "Plots scores of all words for the first object"
  :recorder 'record-all-words-for-first-object
  :draw-y-grid t :y-max 1.05 :y-min 0
  :file-name (babel-pathname :directory (list "tmp")
                            :name "lexicon" :type "ps")
  :add-time-and-experiment-to-file-name nil
  :graphic-type "postscript")
```

The resulting file `/tmp/lexicon.ps` looks as in figure 3.5.

---

<code>graphic-type</code>	Which gnuplot graphic driver to use. Should be one out of "postscript", "pdf", "svg" or "gif".
<code>:file-name</code>	The file name of the graphic file to produce. Should be a <code>pathname</code> .
<code>:add-time-and-experiment-to-file-name</code>	See section 3.2.3.4.
	All other parameters are the same as in section 3.2.5.4.

### 3.2.5.7 *monitor class* **alist-gnuplot-display-and-graphic-generator** *alist-gnuplot-display alist-gnuplot-graphic-generator*

---

`description` A monitor that produces a real-time plot and generates a graphic file in the end.

As it derives from both of these classes, it takes all parameters that `alist-gnuplot-display` and `alist-gnuplot-graphic-generator` take.

## 3.3 Behind the Scenes

As mentioned in the beginning of section 3.2, you will normally not get in touch with the classes that form the base of the monitor system. Instead, you will use the macros from section 3.1. However, if you want to define some monitors that do something else than what you can do with the built-in monitors and if you want to reuse this functionality, you might want to derive your own monitor classes.

This section describes the monitor, event, and event handling base classes and methods that are defined in *systems/monitors/base.lisp*. You can easily skip this section if you are only interested in using the monitors.

Please note that all the classes and methods are not exported from the `:monitors` package as they are “hidden” from the user. It is recommended to put your own classes in that package too.

### 3.3.1 Classes for Monitors and Events

#### 3.3.1.1 *class* **monitor**

---

<code>description</code>	The base class for all monitor classes. Stores the events that a monitor is subscribed to and whether the monitor is active.
<code>slot id</code>	A unique id.
<code>slot event-ids</code>	The ids of events that the monitor is “subscribed” to. Technically, these are all events for that an event handler was defined.



---

slot <code>active</code>	If <code>t</code> , then this monitor is notified on its events.
slot <code>documentation</code>	A string that helps the user to guess the purpose of the monitor.
slot <code>source-file</code>	The file in that the monitor was defined. This is guessed using the LISP variable <code>*load-pathname*</code> , which not always contains a file name.
slot <code>init-arguments</code>	The keyword parameter list with that the monitor was defined. This is stored in order to be able to redefine a monitor only when its parameters change.
slot <code>error-occured-during-initialization</code>	When <code>t</code> , an error occurred during the initialization. This information is stored for determining when to redefine a monitor.

### 3.3.1.2 *class event*

---

description	Represents an event with its parameters and a list of monitors that are listening to the event.
slot <code>id</code>	An unique event id.
slot <code>active-monitors</code>	A list of the ids of those monitors that subscribed to this event and that are active.
slot <code>source-file</code>	The file in that the event was defined. This is guessed using the LISP variable <code>*load-pathname*</code> , which not always contains a file name.
slot <code>parameters</code>	A list of (name type) parameter definitions, used for method generation.

### 3.3.1.3 *global variable \*monitors\**

---

description	A hash table containing the instances of all defined monitors. When a monitor is defined with <code>define-monitor</code> , the instance is automatically stored there. Due to that, the user does not have to care about keeping pointers to these instances and it is easier to access a particular monitor, for example for activating it.
-------------	---

### 3.3.1.4 *global variable \*events\**

---

description	A hash table containing the instances of all defined events.
-------------	--

### 3.3.1.5 *function get-monitor id*

---

description	Returns the monitor instance for an <code>id</code> . Normally you will never do this but it helps for example when you want to see what kind of data a <code>data-recorder</code> recorded.
id	The id of the monitor.

---

```
example (monitors::get-monitor 'record-number-of-lex-stem-rules)
```

### 3.3.1.6 *function* **get-event** *id*

---

**description** Returns the event instance for an `id`.

**id** The id of the event.

## 3.3.2 The Creation of Monitors, Events and Handlers

To prevent the user from being confronted with the classes above and in order to guarantee some properties of monitors and events, there is a lot of checking going on. In `:around` methods of `initialize-instance`, it is checked for almost every parameter whether the passed values are correct. You will normally get helpful error messages if you passed something wrong.

For convenience, when a source file is recompiled or reloaded, the state of the contained monitors and events is preserved. For that, monitors copy the state of previous instances in the `initialize-instance` `:around` methods. Additionally, monitors and events are only redefined when there is no previous instance or when parameters changed:

### 3.3.2.1 *function* **make-monitor-unless-already-defined** *id class* *Optional init-arguments*

---

**description** Makes a new monitor when there is (1) no previously defined monitor with the same `id`, (2) the arguments passed to the monitor (`init-arguments`) are different from a previous monitor with the same `id`, or (3) an error occurred during the initialization of the previous monitor.

**id** The id of the monitor.

**class** The class to instantiate.

**init-arguments** All keyword parameters that are needed to make the instance.

### 3.3.2.2 *function* **subscribe-to-event** *monitor-id event-id*

---

**description** Adds an event to the `event-ids` list of a monitor. When the monitor is active, it is also added to the `active-monitors` slot of the event.

**monitor-id** The id of the monitor.

**event-id** The id of the event.

### 3.3.2.3 *function* **make-event-unless-already-defined** *id parameters*

---

description	Makes an event (only when it is not defined yet or when parameters changed). Additionally, it defines a generic function for handling the event. For example for event <code>interaction-started</code> , this generic function is generated, incorporating the passed parameters: <pre>(defgeneric handle-interaction-started-event   (monitor monitor-id event experiment))</pre> <p>There is a default implementation that specializes on <code>t</code> for the parameters of the event. If you type for example <code>(notify interaction-started 42)</code>, then that method will give you this error:  Parameter 2 (EXPERIMENT) should be of type EXPERIMENT.  Instead, 42 (FIXNUM) was passed.  [Condition of type SIMPLE-ERROR]</p>
id	The id of the event.
parameters	The parameter list.

#### 3.3.2.4 function `make-event-handler` *monitor-id event-id body*

---

description	Subscribes a monitor to an event (using <code>subscribe-to-event</code> , section 3.3.2.2) and creates an event handler method.
monitor-id	The id of the monitor. Either a symbol or a list of symbols (for defining multiple handlers at the same time).
event-id	The id of the event to handle.
body	The body of the handler method (see section 3.1.3).

In order to put events and their handlers into the same source file, the events and monitors have to be defined at macro expansion time (the macro expansion of the `notify` macro (section 3.1.4) needs to know the parameters of the event to generate the method call). But as there is no macro expansion when a compiled file is loaded, the events also have to be defined at load time.

This problem is solved by defining each event and monitor twice:

```
(defmacro define-event (id &rest parameters)
  (make-event-unless-already-defined id parameters)
  '(make-event-unless-already-defined ',id ',parameters))
```

The first line is executed during macro expansion when a source file is compiled. The second line will be executed as well but does not do anything because the event is already defined. When loading a compiled file, only the second line was compiled into the code and is executed. The same thing happens in the `define-monitor` macro.

### 3.3.3 Defining own Monitor Classes

This section shows how to derive own monitor classes. The existing class `trace-monitor` (section 3.2.1.1) serves as an example. The first thing to do is to derive an own class from one of the built-in monitor classes and define some slots that the monitor will need to function (there are no slots needed in this example):

```
(defclass trace-monitor (monitor)
  ()
  (:documentation "Prints string messages on a screen or keeps them in a shared buffer
                  for later retrieval"))
```

The next thing is to define the initialization of the monitor instance. This typically looks like this:

```
(defmethod initialize-instance :around ((monitor trace-monitor)
                                       &key id &allow-other-keys)
  (let ((previous-monitor (get-monitor id)))
    (call-next-method)
    (setf (error-occured-during-initialization monitor) t)
    (make-event-unless-already-defined id '((message string)))
    (when (or (not previous-monitor)
              (error-occured-during-initialization previous-monitor)
              (not (find id (event-ids monitor))))
      (make-event-handler
       id id '((unless (equal ,(intern-in-package-of id "MESSAGE") "")
                            (format (monitor-stream monitor) "~a"
                                    ,(intern-in-package-of id "MESSAGE"))))))))
  (setf (error-occured-during-initialization monitor) nil))
```

Before the base class is initialized with `call-next-method`, a reference to the old monitor instance is kept in variable `previous-monitor`. Then, the monitor slot `error-occured-during-initialization` is set to `t`. This is set to false again in the last line. If something goes wrong between these two lines, the value of that slot remains `t` so that the next attempt to initialize starts from scratch again.

Then there is automatically an event defined that has the same name as the monitor and takes a string as parameter. Only when there is no previous monitor, when there were no errors and when there is no handler yet for that automatically generated event, an event handler that prints or buffers the message is defined using the `make-event-handler` macro.

---

## 4 The Experiment Framework

---

This chapter defines what an “experiment” is in the Babel framework. There is a “population” of “agents” that engage in “interactions”. An agent is understood as a software entity that interacts with a “world” (see for example Russel and Norvig, 1995) has an internal state, own goals and means to achieve them. It perceives information from the world and performs “actions” on it. It has ways to *diagnose* problems in its information processing and *repair strategies* to adapt and optimize its state and processing. Agents can not look into each others brains, which means that they never can access the internal states of others. Instead, they perform actions such as speaking or pointing that are observed by the other agents. An experiment itself is a controlled repetition (*batch*) of *series* of such interactions. For each series, measures of the emergent behavior or properties of the agent’s information processing are recorded.

The experiment framework defines<sup>1</sup> the concepts introduced above in a rather abstract way. Specific kinds of experiments such as different types of language games are operationalized by subclassing and implementing generic methods described in this chapter.

### 4.1 Agents Situated in the World

This section defines agents and their interaction with the world.

#### 4.1.1 Actions Performed on the World

Actions are performed by agents. They can change the state of the world and are observable by other agents. They can be anything that a robot or a human could do, for example speaking, pointing, giving, walking, nodding, etc – but rather no telepathic or other supernatural activities.

##### 4.1.1.1 class **action**

---

description Represents an action performed by an agent. You define own actions by subclassing from `action`.

slot `agent-id` (`agent-id` :type (or symbol fixnum) :initform nil :initarg :agent-id :accessor agent-id)

The id of the agent that does the action. Normally you will not have to provide this when creating an action because it is set automatically in an `:around` method of `run-agent` (see section 4.1.2.2).

---

<sup>1</sup> See also the source files in directory *systems/experiment-framework*.

---

```
slot recipient-ids (recipient-ids :type list :initform '(all-agents)
                    :initarg :recipient-ids :accessor recipient-ids)
```

The ids of the agents that the action is directed to. By default, the action is performed to all interacting agents (value '(all-agents)).

#### 4.1.1.2 class **no-action** *action*

---

**description** When an agent performs this action it means that it waits for other agents to do something or that it believes the current interaction to be finished.

#### 4.1.1.3 class **world**

---

**description** The state of the shared world in which the agents are interacting. It is highly experiment dependent what that is. Normally you will define a world for your experiment by subclassing from **world**.

```
slot actions (actions :type list :initform nil :accessor actions)
```

All actions that were performed by the agents during the current interaction. Newer actions are first in the list. These are added automatically by the framework after **update-world** (see below).

#### 4.1.1.4 generic function **initialize-world-for-next-interaction** *world*

---

**description** Initializes or updates the state of the world at the beginning of a new interaction. It is called automatically in an **:around** method of **run-interaction** (see section 4.2.2.3).

**world** The world to initialize.

**default implementation** The default implementation is empty, because there might be experiments where the world does not have state except the actions performed by the agents. In a **:before** method, the **actions** slot of the world is set to **nil**.

#### 4.1.1.5 generic function **update-world** *world action*

---

**description** Updates the world dependent on the last action of an agent. It is automatically called from **run-interaction** (see section 4.2.2.3). If in your experiment the agents perform actions that can change the state of the world, then you would implement a method for your **world** and **action** class.

**world** The world to update.

**action** The action

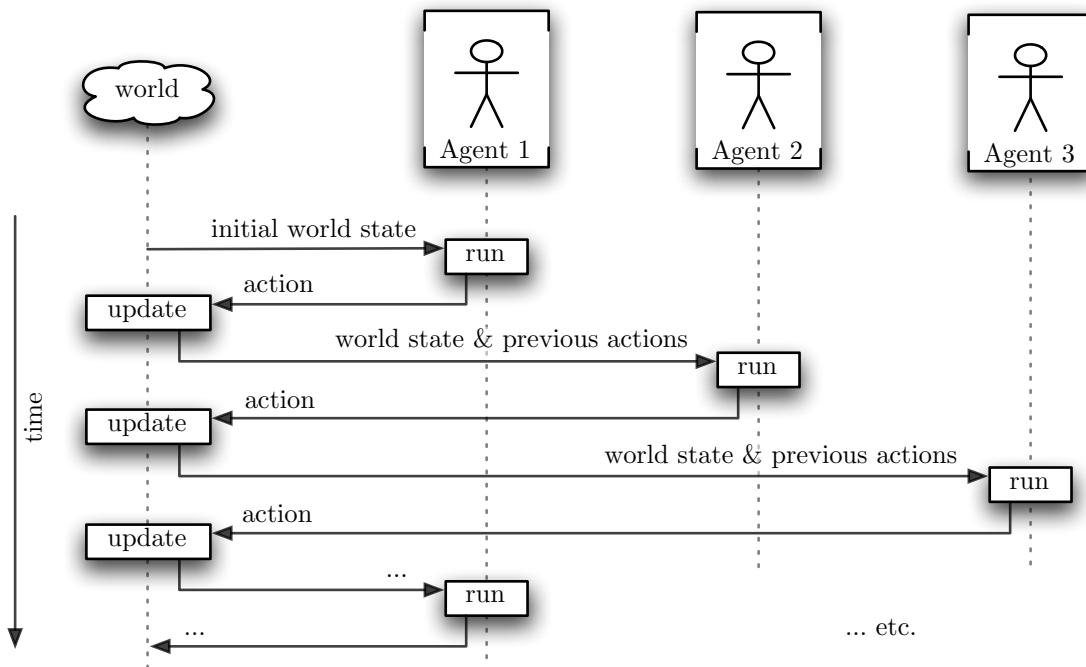


Figure 4.1: The general scheme of an interaction between agents. All agents that participate in the interaction are run one after the other, observe the state of the world and the actions that were performed by the other agents. As a result, they return an action on which again updates the world. An interaction ends when all agents return the no-action.

default implementation	The default implementation is empty. This means that actions such as speaking or nodding do not change the state of the world but are directly observed by the agents. In an <code>:around</code> method, the <code>action</code> is automatically pushed on the <code>actions</code> slot of the <code>world</code> .
------------------------	--

### 4.1.2 Running Agents

The agents that take part in an interaction are run one after the other to determine their next action (see figure 4.1).

#### 4.1.2.1 class `agent` *object-with-learning-mechanisms*

description	The base class for all agents. It inherit slots for learning mechanisms from base class <code>object-with-learning-mechanisms</code> (see section 4.3).
-------------	---

slot <code>id</code>	<code>(id :initarg :id :reader id :type (or symbol fixnum) :initform (gen-sym))</code>
----------------------	--

The id of the agent.

---

slot <code>problems</code>	( <code>problems</code> :type list :initform nil :initarg :problems :accessor <code>problems</code> )	All the problems that were encountered during an interaction.
slot <code>list-of-interacting-agents</code>	( <code>ids-of-interacting-agents</code> :initform nil :type list :accessor <code>ids-of-interacting-agents</code> )	The ids of all other agents that are part of the current interaction (see section 4.2.2.1).

#### 4.1.2.2 *generic function* **run-agent** *agent world*

---

description	Plans and performs the next action of an agent. Called by <code>run-interaction</code> (see section 4.2.2.3).
<code>agent</code>	The agent to perform the action.
<code>world</code>	The state of the world including the actions performed by the other agents.
default implementation	<p>The default implementation calls repeatedly</p> <ul style="list-style-type: none"> <li>• <code>plan-action</code> (see section 4.1.2.3 below)</li> <li>• <code>run-agent-diagnostics</code> (see chapter 5)</li> <li>• and <code>run-agent-repair-strategies</code> (see chapter 5)</li> </ul> <p>in a loop until nothing is repaired anymore by the repair strategies (<code>run-agent-repair-strategies</code> returns <code>nil</code>). Then the last planned action is passed to <code>perform-action</code> (see section 4.1.2.5) and the action returned by that is returned by <code>run-agent</code>.</p> <p>For example there could be an agent that tries to interpret an utterance. First <code>plan-action</code> runs an interpretation task that fails and returns two values, the returned planned action which is a ‘signal failure’ action and a list of agent level learning situations, e.g. (<code>agent-interpreting</code>). Based on these learning situations there are learning mechanisms that repair the agent. Again <code>plan-action</code> is run and this time returns a ‘signal success’ action. This action is passed to <code>perform-action</code>, which commits the things previously learned and updates its linguistic inventory based on the communicative success.</p> <p>In an <code>:around</code> method, there are notifications for the events <code>run-agent-started</code> and <code>run-agent-finished</code> (sections 4.1.2.6 and 4.1.2.7).</p>

#### 4.1.2.3 *generic function* **plan-action** *agent world*

---



description	Plans an action for the agent based on the world (which contains the actions performed by the other agents). Returns an instance of <code>action</code> and a list of agent level learning situations for that the agent level learning mechanisms are run. This function is called from <code>run-agent</code> , which runs learning mechanisms after it and, if something is repaired, runs <code>plan-action</code> again. So the action you return here is not necessarily the one that is returned by <code>run-agent</code> . If you want to do something based on the action that the agent actually performs, then you can do that in method <code>perform-action</code> (see below).
agent	The agent that is run.
world	The world that the agent is situated in.
default implementation	There is a default implementation that calls <code>plan-action-based-on-last-action</code> (see below) on the last action of the world. However, this might be not a good idea when there are more than two agents interacting and when actions depend on more than just the action of the last agent that was run. In this case you might consider re-implementing this method for your <code>agent</code> class.

---

#### 4.1.2.4 *generic-function* **plan-action-based-on-last-action** *agent world last-action*

---

description	Returns an action based on a single last action performed by an (the) other agent. When you use the default implementation of <code>plan-action</code> , then you have to implement this method for all actions that your agents can perform. Just as <code>plan-action</code> it also has to return a list of learning situations as second value.
agent	The agent that performs the action.
world	The world in that the agent is situated.
last-action	The last performed action of a (the) other agent.

---

#### 4.1.2.5 *generic function* **perform-action** *agent planned-action*

---

description	Called by the default implementation of <code>run-agent</code> on the planned action after nothing is repaired anymore. Returns an action. This gives you the chance to do something based on the action that the agent performs.
agent	The agent that performs the action.
planned-action	The action that was returned by the last call to <code>plan-action</code> .
default-implementation	The default implementation simply returns the <code>planned-action</code> .

---

4.1.2.6 *monitor event* **run-agent-started** (*agent agent*) (*world world*)

---

description	Triggered at the begin of <b>run-agent</b> .
agent	The agent that is run.
world	The world in the agent is situated.

---

4.1.2.7 *monitor event* **run-agent-finished** (*agent agent*) (*world world*) (*action action*)

---

description	Notified at the end of <b>run-agent</b> .
agent	The agent that performed an action.
world	The world (not yet updated on the action).
action	The performed action.

---

4.1.2.8 *generic function* **initialize-interaction** *agent*


---

description/ default implementation	Is called for each of the interacting agents at the begin of an interaction. For example can be used to initialize the role that an agent takes in the interaction. The default implementation is empty.
agent	The agent to initialize.

---

4.1.2.9 *generic function* **consolidate-agent** *agent*


---

description/ default implementation	Is called for each of the interacting agents at the end of an interaction. It is intended to be used for committing learned things or updating scores of inventories. The default implementation is empty.
agent	The agent to consolidate.

## 4.2 Interacting Agents

The main purpose of the experiment framework is to have agents interacting with each other and learn from that. This section describes how these interactions are defined and run.

### 4.2.1 Experiments and Populations

Experiments determine how interactions between agents of a population are run.

---

4.2.1.1 *class* **experiment** *object-with-learning-mechanisms*


---

description	The base class for all experiments. It also contains learning mechanisms as it is derived from <code>object-with-learning-mechanisms</code> (see section 4.3).
slot population	(population :type list :accessor population)  A list of agents. The population is automatically initialized when the experiment is created (using the generic function <code>initialize-population</code> , see below).
slot interaction-number	(interaction-number :type fixnum :initform 0 :accessor interaction-number)  A counter that is increased with every interaction.
slot interacting-agents	(interacting-agents :type list :initform nil :accessor interacting-agents)  A list of the agents that are involved in the current interaction. Determined by function <code>determine-interacting-agents</code> (see section 4.2.2.1).
slot processing-strategies	(processing-strategies :type t :initform t :accessor processing-strategies :initarg :processing-strategies)  An experiment specific object that can be used to specialize methods on lower levels. These can be methods that you have to implement for your own experiment. There are also built-in methods that provide multiple options for some particular processing. By deriving your processing strategy from
slot world	(world :type world :initarg :world :accessor world :initform (make-instance 'world))  The world that is shared by the agents.

#### 4.2.1.2 generic function `initialize-population` experiment

description	Replaces all agents of an experiment's population by a new list of agents. The new list should contain at least one agent. In an <code>:after</code> method, all learning mechanisms and processing mechanisms of the experiment are automatically copied into each agent. Additionally, there is a notification for event <code>population-initialized</code> (see below).  You have to implement this method for your experiment.
example	<pre>(defclass my-experiment (experiment) ()) (defclass my-agent (agent) ())  (defmethod initialize-population ((experiment my-experiment))   (setf (population experiment)         (loop for n from 1 to 5               collect (make-instance 'my-agent :id n))))</pre>

---

4.2.1.3 *monitor event* **population-initialized** *experiment*


---

<code>description</code>	Triggered after <code>initialize-population</code> .
<code>experiment</code>	The experiment for that the population was initialized.

## 4.2.2 Running an Interaction

An “interaction” is when some agents are drawn from the population and interact for some time in a shared world. This could be for example a guessing game where one agent of the population becomes a speaker, describes a scene to a hearer, the hearer points to a thing in the world, and the speaker signals the communicative success of the interaction.

---

4.2.2.1 *generic function* **determine-interacting-agents** *experiment*


---

<code>description</code>	Called at the begin of each interaction to determine which agents (a subset of the population) will interact with each other. The function has to set the <code>interacting-agents</code> slot of <code>experiment</code> .
<code>experiment</code>	An experiment instance.
<code>default implementation</code>	The default implementation randomly selects two agents from the population of <code>experiment</code> . In an <code>:around</code> method, the <code>ids-of-interacting-agents</code> slots of all interacting agents are set properly so that each agent knows with who it is interacting. There is a notification for event <code>interacting-agents-determined</code> (see below).

---

4.2.2.2 *monitor event* **interacting-agents-determined** (*experiment experiment*)

---

<code>description</code>	Triggered at the end of <code>determine-interacting-agents</code> .
<code>experiment</code>	The experiment.

---

4.2.2.3 *generic function* **run-interaction** *experiment*


---

<code>description</code>	The most important function of the experiment framework. Runs one interaction of an experiment.
<code>experiment</code>	The experiment to run.

**default implementation** It is not recommended to specialize this function for your experiment class as there is already a sophisticated default implementation for class `experiment` itself. The default implementation calls the `run-agent` method (see section 4.1.2.2) of the first interacting agent. The returned action is passed to `update-world` (section 4.1.1.5). Then the `run-agent` method of the next agent is called and so on until no agent returns an action different from `no-action` (section 4.1.1.2). See also figure 4.1.

```
(defmethod run-interaction ((experiment experiment))
  (loop for at-least-one-agent-returned-an-action = nil
        do (loop for agent in (interacting-agents experiment)
                  for action = (run-agent agent (world experiment))
                  do (unless (typep action 'no-action)
                        (setf at-least-one-agent-returned-an-action t)
                        (update-world (world experiment) action))
                  while at-least-one-agent-returned-an-action))
```

In an `:around` method,

- the `interaction-number` of the experiment is increased,
- there is a notification on the event `interaction-started` (see section 3.1.11.1),
- the method `called-before-run-interaction` is called (see below),
- `determine-interacting-agents` `experiment` is called (see above),
- for each interacting agent `initialize-interaction` (section 4.1.2.8) is called,
- the world is initialized with `initialize-world` (section 4.1.1.4),
- the main method is called,
- for each interacting agent `consolidate-agent` (section 4.1.2.8) is called,
- `called-after-run-interaction` (see below) is called,
- there is a notification for event `interaction-finished` (see 3.1.11.2).

#### 4.2.2.4 *generic function* **called-before-run-interaction** *experiment*

---

**description** The method is called automatically before `run-interaction`.

**experiment** The experiment instance.

**default implementation** There is a default implementation that does nothing. You can, but don't have to, do additional things here such as initializing variables, interacting with robots, etc.

Of course you could also write a `:before` method for `run-interaction` (it would be the same), but maybe implementing this method for your experiment class makes things look more clear.

---

4.2.2.5 *generic function* **called-after-run-interaction** *experiment*


---

<code>description</code>	The same as <code>called-before-interaction</code> . except that it is called automatically after <code>run-interaction</code> .
<code>experiment</code>	The experiment instance.

### 4.2.3 Running Experiments

There are three different levels of running an experiment:

- An interaction, see previous section 4.2.2.
- A “series” is a set of subsequent interactions, possibly with different agents of the population participating in the interaction each time.
- A “batch” is a repetition of series with same length. Before each series, the population is reset. This is enables you to average experimental results over many repetitions.

4.2.3.1 *generic function* **run-series** *experiment number-of-interactions &key reset*


---

<code>description</code>	Runs a series of interactions.
<code>experiment</code>	The experiment instance.
<code>number-of-interactions</code>	How many interactions to run.
<code>:reset</code>	Whether to reset the population and the monitors. Default: <code>nil</code> .
<code>default implementation</code>	The default implementation executes the <code>run-interaction</code> method <code>number-of-interaction</code> times. When <code>:reset</code> is <code>t</code> , in the beginning it sets the <code>interaction-number</code> of the experiment to 0, resets the monitors by notifying on the event <code>reset-monitors</code> (section 3.1.11.5) and calls the <code>initialize-population</code> method (section 4.2.1.2).

4.2.3.2 *generic function* **run-batch** *experiment number-of-interactions number-of-series*


---

<code>description</code>	Runs a batch (multiple series of interactions).
<code>experiment</code>	The experiment instance.
<code>number-of-interactions</code>	How many interactions to run.
<code>number-of-series</code>	How many series to run.

default implementation	The default implementation resets the monitors in the beginning. Then it runs <code>number-of-series</code> times a series of <code>number-of-interactions</code> interactions, each time in the beginning setting the <code>interaction-number</code> to 0 and resetting the population. After each series, the event <code>series-finished</code> is triggered. In the end, there is a notification for <code>batch-finished</code> .
------------------------	---

---

#### 4.2.3.3 *monitor* **trace-interaction** *trace-monitor*

description	Prints information about interactions such as the interaction number, which agent is run and which actions it returned.
-------------	---

---

#### 4.2.3.4 *monitor* **trace-experiment** *trace-monitor*

description	Prints information about the experiment, when the population is reset and when a series or a batch is finished. Good for observing the progress in large-scale simulations.
-------------	---

### 4.3 Learning Mechanisms

Learning mechanisms are deeply grounded into the Babel framework. They consist of three things:

1. “diagnostics” reside on top of cognitive processes and try to detect failures or suboptimal processing, which they report in the form of
2. “problems”. They contain the information that can be used by
3. “repair strategies” to overcome failures or to optimize information processing.

Since learning is crucially important we have dedicated a chapter to accommodate all there is to know about learning in the Babel framework. We refer you to chapter 5

### 4.4 An Interaction Example

This section shows a very minimal experiment without learning mechanisms that illustrates the concepts described in this chapter. An interaction in this experiment will be that one agent asks for chocolate, gets chocolate and thanks the other agent for it.

First, we define an experiment class, an agent class and an initialization of the population:

```
(defclass my-experiment (experiment) ())
(defclass my-agent (agent) ())

(defmethod initialize-population ((experiment my-experiment))
  (setf (population experiment)
        (loop for i from 1 to 5 collect (make-instance 'my-agent :id i))))
```

We use the default implementation of `determine-interacting-agents` (which randomly selects two agents of the population). We define the two actions that we need for this interaction:

```
(defclass give-action (action)
  ((object :initarg :object :accessor object)))

(defclass speak-action (action)
  ((utterance :initarg :utterance :accessor utterance)))
```

Then we implement the `plan-action-based-on-last-action` methods for `my-agent` and the actions above:

```
(defmethod plan-action-based-on-last-action ((agent my-agent) (world world)
                                             (last-action (eql nil)))
  (make-instance 'speak-action :utterance "Want chocolate!"))

(defmethod plan-action-based-on-last-action ((agent my-agent) (world world)
                                             (last-action speak-action))
  (if (string-equal (utterance last-action) "Want chocolate!")
      (make-instance 'give-action :object 'chocolate
                    :recipient-ids (list (first (ids-of-interacting-agents agent))))
      (make-instance 'no-action)))

(defmethod plan-action-based-on-last-action ((agent my-agent) (world world)
                                             (last-action give-action))
  (make-instance 'speak-action :utterance "Thank you!"))

(defmethod plan-action-based-on-last-action ((agent my-agent) (world world)
                                             (last-action no-action))
  (make-instance 'no-action))
```

The first `plan-action-based-on-last-action` method above is run at the begin of an interaction (there are no last actions). The agent that is run first says “want chocolate”. Then the next agent is run. If it hears “want chocolate!”, then it gives chocolate to the other agent. An agent that gets chocolate says “Thank you!”. Whenever the other agent did nothing, an agent also does nothing.

This makes an instance of the experiment:

```
(defparameter *experiment* (make-instance 'my-experiment))
```

To see some output, we activate the monitor `trace-interaction` and then run one interaction:

```
(activate-monitor trace-interaction)

(run-interaction *experiment*)
```

This is the output:

```
=====
= Started interaction 1.
= Interacting agents: (<my-agent 3> <my-agent 4>)
=====
```



```

= Running <my-agent 3>.
= <my-agent 3> performs
  <speaking-action: utterance: "Want chocolate!"
  <action: agent-id: 3, recipient-ids: (ALL-AGENTS)>>.
=====
= Running <my-agent 4>.
= <my-agent 4> performs
  <give-action: object: CHOCOLATE <action: agent-id: 4, recipient-ids: (3)>>.
=====
= Running <my-agent 3>.
= <my-agent 3> performs
  <speaking-action: utterance: "Thank you!"
  <action: agent-id: 3, recipient-ids: (ALL-AGENTS)>>.
=====
= Running <my-agent 4>.
= <my-agent 4> performs
  <no-action: <action: agent-id: 4, recipient-ids: (ALL-AGENTS)>>.
=====
= Running <my-agent 3>.
= <my-agent 3> performs
  <no-action: <action: agent-id: 3, recipient-ids: (ALL-AGENTS)>>.
=====
= Running <my-agent 4>.
= <my-agent 4> performs
  <no-action: <action: agent-id: 4, recipient-ids: (ALL-AGENTS)>>.

```

## 4.5 Running Parallel Series of Experiments

Experiments that involve grammar learning, rich conceptualization mechanisms or excessive search can be very slow or can require large number of interactions for the desired phenomenon to emerge. Especially running multiple series of the same experiment in order to have averaged results (running batches) can take forever on a single processor.

Our experiment framework provides one mechanism for speeding this up: multiple series of the same experiment can be run in parallel on a machine that has multiple processors. So far this works only on SBCL and only on machines where different sub-processes are automatically scheduled, but it is very likely that other Lisps and machine architectures will be supported in the future.

Furthermore, there are functions for analyzing the impact of different configurations on a particular measure within one graph.

The functions for parallel batches are defined in *systems/experiment-framework/parallel-batch.lisp*.

### 4.5.1 *function* `run-parallel-batch` &key ...

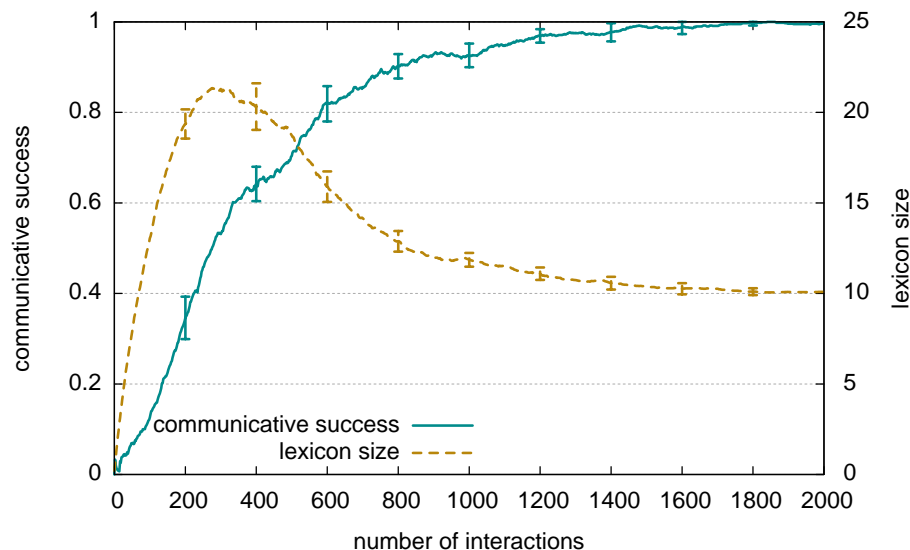


Figure 4.2: An example for a graph created with `run-parallel-batch`. This is the same graph that also would be created with `run-batch`, except that it is faster to use `run-parallel-batch`.

<code>description</code>	The same as method <code>run-batch</code> (see section 4.2.3.2), with the difference that each series is run in parallel. Internally it starts for each of the series a separate client Lisp process and then loads and runs a specified experiment in it. The results of each series are then collected and graphs are produced.
<code>:asdf-system</code>	The asdf system of the experiment to run.
<code>:package</code>	The package to run the experiment in.
<code>:experiment-class</code>	The experiment to run.
<code>:number-of-interactions</code>	How many interactions to run in each client.
<code>:number-of-series</code>	How many series to run. This also determines also how many client Lisp processes will be started. When for example your machine has 10 processors and <code>:number-of-series</code> is 10, then each of these processes will be run at 100% CPU usage, speeding up the batch to take only 10% of the time of a serial batch on a single processor. When there are only 5 processors, then each Lisp will run at 50%, speeding up the batch to take 20% of the time.
<code>:monitors</code>	Which monitors to use (a list of strings). This can be any kind of monitor, but it makes sense only for those that use data recorders, e.g. gnuplot monitors or data writers.

```
example (run-parallel-batch
        :asdf-system "babel-demo"
        :package "babel-demo"
        :experiment-class "naming-game"
        :number-of-interactions 2000
        :number-of-series 10
        :monitors '("babel-demo::plot-success+lexicon-size"))
```

This has the same effect as running

```
(asdf:operate 'asdf:load-op :babel-demo)

(in-package :babel-demo)

(activate-monitor babel-demo::plot-success+lexicon-size)

(run-batch (make-instance 'naming-game) 2000 10)
```

, except that it takes only 10% of the time. The resulting graph is shown in figure 4.2.

#### 4.5.2 *function create-graphs-for-different-experimental-conditions* *&key ...*

**description** Creates graphs for the impact on different experimental conditions on particular measures. Different conditions are implemented as separate experiment classes that are then run one after each other, with the results merged into one graph.

**example** When there is for example an experiment class `naming-game`, different conditions that analyze different strategies for lexicon update can be created by subclassing from `naming-game` and then setting different configurations during the initialization:

```
(defclass ng-1 (naming-game) ())
(defclass ng-2 (naming-game) ())
(defclass ng-3 (naming-game) ())

(defmethod initialize-instance :after ((experiment ng-1) &key)
  (set-configuration experiment 'word-score-delta-success 0.0)
  (set-configuration experiment 'word-score-delta-inhibit 0.0)
  (set-configuration experiment 'word-score-delta-fail 0.0))

(defmethod initialize-instance :after ((experiment ng-2) &key)
  (set-configuration experiment 'word-score-delta-success 0.1)
  (set-configuration experiment 'word-score-delta-inhibit 0.0)
  (set-configuration experiment 'word-score-delta-fail -0.1))

(defmethod initialize-instance :after ((experiment ng-3) &key)
  (set-configuration experiment 'word-score-delta-success 0.1)
  (set-configuration experiment 'word-score-delta-inhibit -0.2)
  (set-configuration experiment 'word-score-delta-fail -0.1))
```

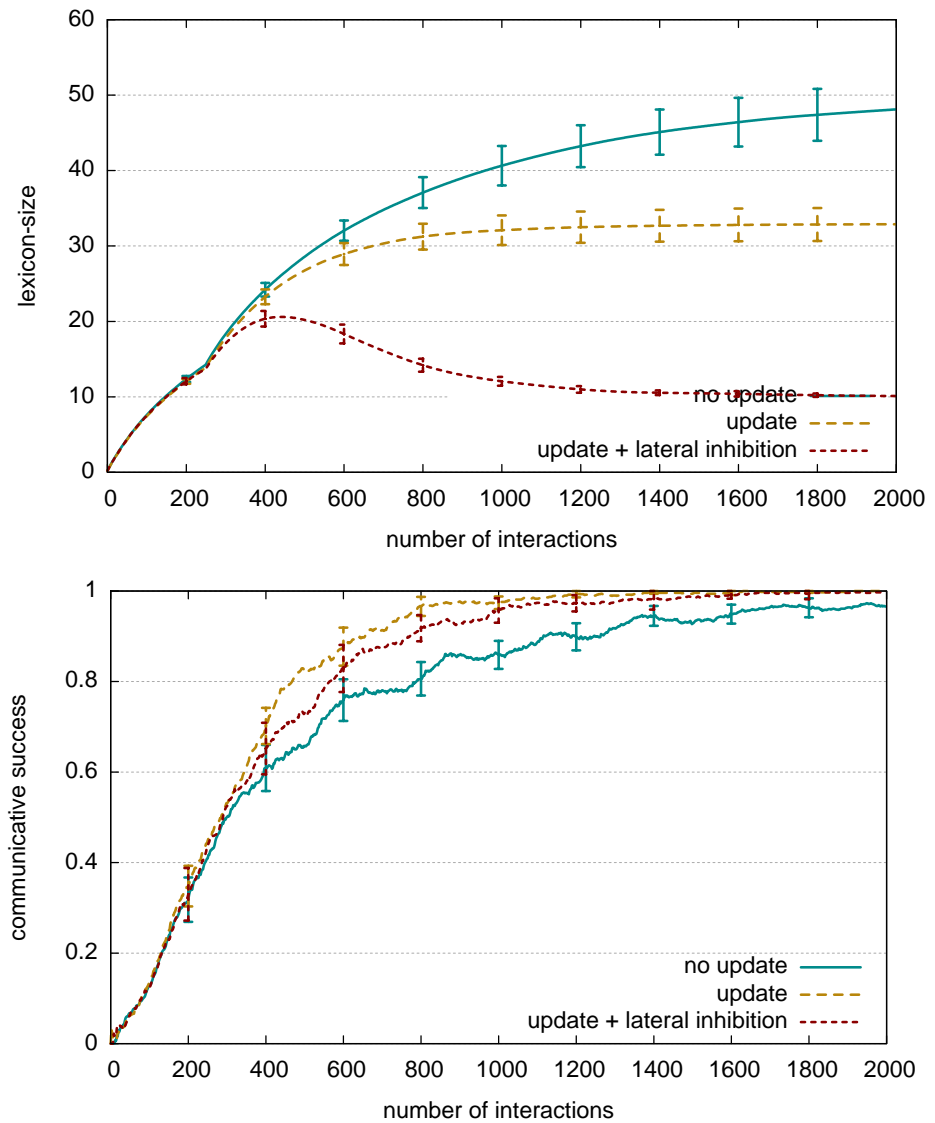


Figure 4.3: Examples for graphs create by `create-graphs-for-different-experimental-conditions`.

The impact of these different update strategies can then be plotted with this:

```
(create-graphs-for-different-experimental-conditions
 :asdf-system "babel-demo"
 :package "babel-demo"
 :experiment-base-class "naming-game"
 :experiment-classes '("ng-1" "ng-2" "ng-3" )
 :captions '("no update" "update" "update + lateral inhibition")
 :number-of-interactions 2000
 :number-of-series 10
 :data-recorders '("babel-demo::record-communicative-success"
 "babel-demo::record-average-number-of-words")
 :average-data '(t t)
 :parameters-for-graphic-generators
 '((:x-label "number of interactions" :y1-label "communicative success"
 :error-bars t :y1-max 1 :y1-min 0 :draw-y1-grid t
 :graphic-type "pdf" :key-location "right bottom"
 :file-name
 (babel-pathname
 :name "success-vs-update-strategy" :type "pdf"
 :directory '("experiments" "babel-demo" "graphs"))))
 (:x-label "number of interactions" :y1-label "lexicon-size"
 :error-bars t :y1-min 0 :draw-y1-grid t
 :graphic-type "pdf" :key-location "right bottom"
 :file-name
 (babel-pathname
 :name "lexicon-size-vs-update-strategy" :type "pdf"
 :directory '("experiments" "babel-demo" "graphs"))))))
```

The resulting-graphs are shown in figure 4.3.

<code>:asdf-system</code>	The same as in <code>run-parallel-batch</code> above.
<code>:package</code>	
<code>:number-of-interactions</code>	
<code>:number-of-series</code>	
<code>:experiment-base-class</code>	The base class of the different conditions (only needed for creating graph file names).
<code>:experiment-classes</code>	The experiments to run (a list of strings).
<code>:captions</code>	A graph caption for each of the conditions.
<code>:data-recorders</code>	The measures (data recorders) to use. For each measure a separate graph will be created.
<code>:average-data</code>	Determines for each of the measures whether the results are averaged or not.
<code>:parameters-for-graphic-generators</code>	Specifies the appearance of the graphs. Internally, instances of <code>gnuplot-graphic-generators</code> are created and everything in <code>:parameters-for-graphic-generators</code> is passed to them, so for details see section 3.2.4.3.

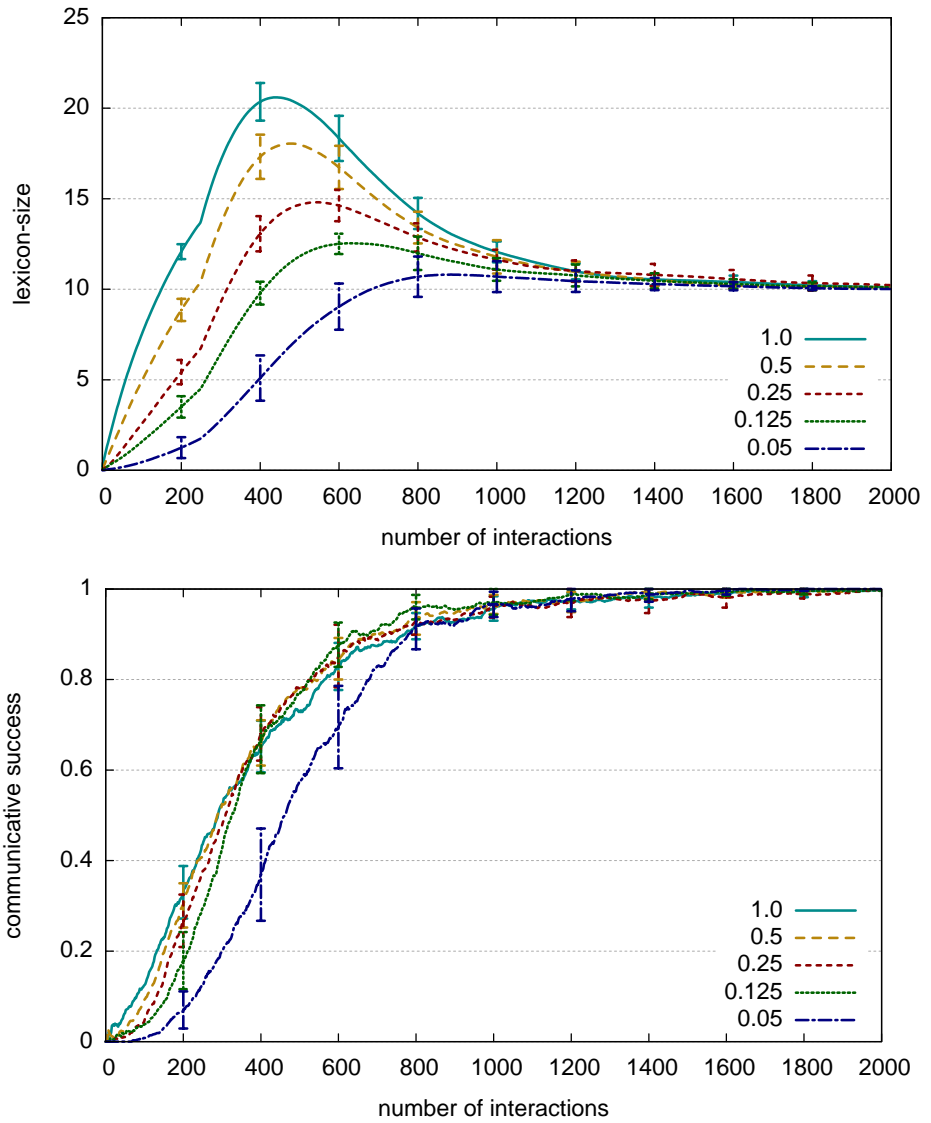


Figure 4.4: Examples for graphs create by `create-graphs-for-different-experimental-configurations`.

4.5.3 *function* `create-graphs-for-different-experimental-configurations` *key ...*

**description** This is very similar to `create-graphs-for-different-experimental-conditions`, with the difference that it does not require to create separate classes for each experimental condition. Instead, the same experiment class is run multiple times with different configurations.

**example** This example runs the `naming-game` experiment class 5 times, each time with a different probability for the invention of words:

```
(create-graphs-for-different-experimental-configurations
 :asdf-system "babel-demo"
 :package "babel-demo"
 :experiment-class "naming-game"
 :configurations
  '((babel-demo::probability-for-word-invention . 1.0))
  ((babel-demo::probability-for-word-invention . 0.5))
  ((babel-demo::probability-for-word-invention . 0.25))
  ((babel-demo::probability-for-word-invention . 0.125))
  ((babel-demo::probability-for-word-invention . 0.05))
 :captions '("1.0" "0.5" "0.25" "0.125" "0.05")
 :number-of-interactions 2000
 :number-of-series 10
 :data-recorders '("babel-demo::record-communicative-success"
 "babel-demo::record-average-number-of-words")
 :average-data '(t t)
 :parameters-for-graphic-generators
 '((:x-label "number of interactions"
 :y1-label "communicative success"
 :error-bars t :y1-max 1 :y1-min 0 :draw-y1-grid t
 :graphic-type "pdf" :key-location "right bottom"
 :file-name
 (babel-pathname
 :name "success-vs-invention-probabilities" :type "pdf"
 :directory '("experiments" "babel-demo" "graphs"))))
 (:x-label "number of interactions" :y1-label "lexicon-size"
 :error-bars t :y1-min 0 :draw-y1-grid t
 :graphic-type "pdf" :key-location "right bottom"
 :file-name
 (babel-pathname
 :name "lexicon-size-vs-invention-probabilities" :type "pdf"
 :directory '("experiments" "babel-demo" "graphs")))))
```

The resulting-graphs are shown in figure 4.4.

---

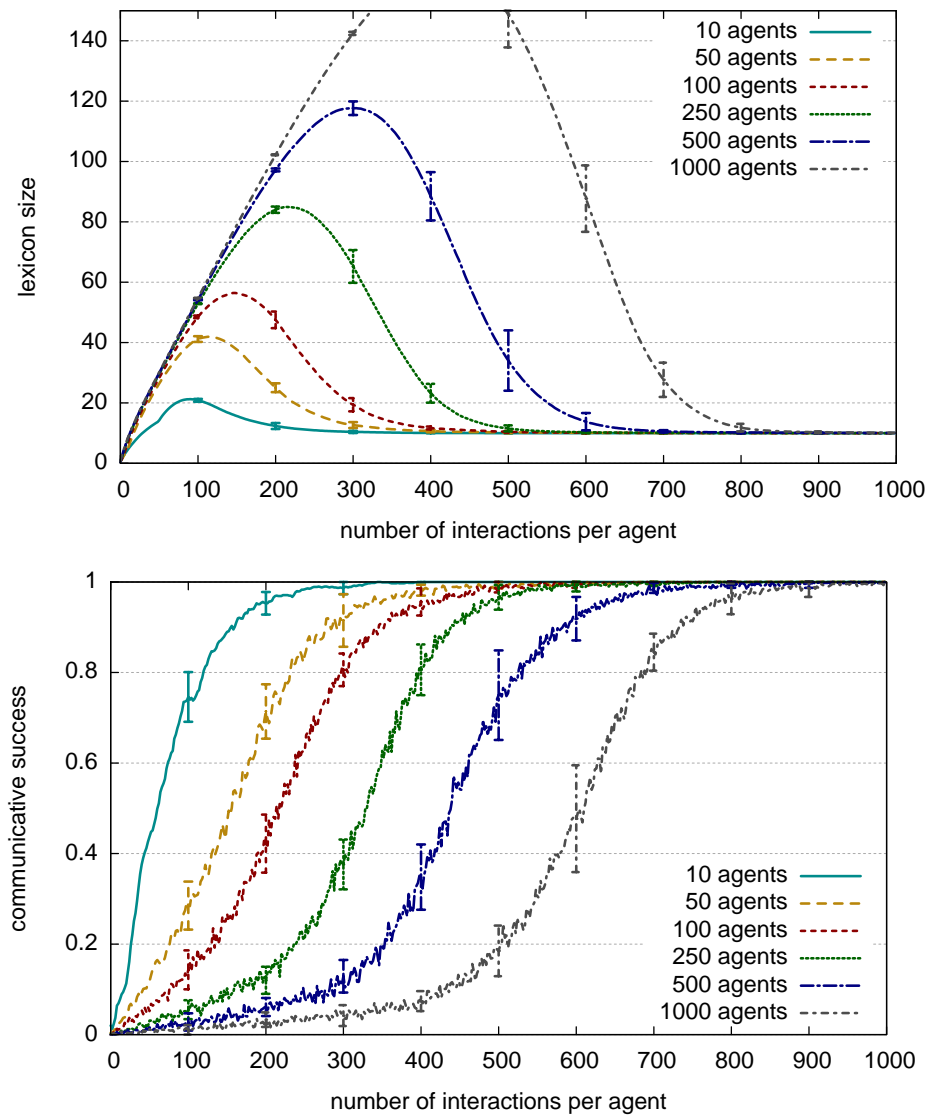
<code>:asdf-system</code>	The same as in <code>create-graphs-for-different-experimental-conditions</code> above.
<code>:package</code>	<code>:number-of-interactions</code>
<code>:number-of-series</code>	<code>:data-recorders</code>
<code>:data-recorders</code>	<code>:average-data</code>
<code>:average-data</code>	<code>:parameters-for-graphic-generators</code>
<code>:parameters-for-graphic-generators</code>	
<code>:experiment-class</code>	The class of the experiment to run with different configurations.
<code>:configurations</code>	Lists of configurations for each run. A configuration is a list of configuration / value pairs and the client processes pass them to the experiment with <code>set-configuration</code> .
<code>:captions</code>	A graph caption for each of the configurations.

#### 4.5.4 *function* `create-graphs-for-different-population-sizes` *key ...*

---

`description` This function shows the impact of varying population sizes on particular measures. In order to make the different runs comparable, the x-axis does not show the number of interactions, but the number of interactions that each agent played on average. When for example the population size is 10 and the number of series 1000, then each agent will have played 200 games until the end.



Figure 4.5: Examples for graphs create by `create-graphs-for-different-population-sizes`.

example This example runs the `naming-game` experiment class with 6 population sizes:

```
(create-graphs-for-different-population-sizes
 :asdf-system "babel-demo"
 :package "babel-demo"
 :experiment-class "naming-game"
 :population-sizes '(10 50 100 250 500 1000)
 :number-of-interactions-per-agent 1000
 :number-of-series 10
 :data-recorders '("babel-demo::record-communicative-success"
                  "babel-demo::record-average-number-of-words")
 :average-data '(t t)
 :parameters-for-graphic-generators
 '(:key-location "bottom right"
   :x-label "number of interactions per agent"
   :y1-label "communicative success" :error-bars t
   :y1-min 0.0 :y1-max 1 :draw-y1-grid t
   :graphic-type "pdf" :colored t
   :file-name
     (babel-pathname
      :name "success-vs-population-size" :type "pdf"
      :directory '("experiments" "babel-demo" "graphs")))
 (:key-location "top right"
  :x-label "number of interactions per agent"
  :y1-label "lexicon size" :error-bars t :y1-min 0
  :y1-max 150 :draw-y1-grid t
  :graphic-type "pdf" :colored t
  :file-name
    (babel-pathname
     :name "lexicon-size-vs-population-size" :type "pdf"
     :directory '("experiments" "babel-demo" "graphs"))))
))
```

The resulting-graphs are shown in figure ??.

<pre>:asdf-system   :package :experiment-class :number-of-series  :data-recorders   :average-data  :parameters-for- graphic-generators :population-sizes</pre>	<p>See above.</p>
<pre>:number-of- interactions-per- agent</pre>	<p>A list of different population sizes. This will be used in the client processes to do <code>(set-configuration experiment 'population-size xxx)</code>, so you will have to create populations depending on <code>'population-size</code>.</p> <p>How many interactions to run per agent. If for example the population size is 1000 and the <code>:number-of-interactions-per-agent 1000</code>, then 500000 interactions will be run by each client process.</p>

---

## 5 Learning

---

Learning is deeply entrenched into the Babel framework. Learning in Babel cannot be only inductive learning since the agents also have to invent or change new items for their (linguistic) inventory to be gradually built up. We cannot assume (like is often done in machine learning algorithms) that there is a pre-given input set from which the agents can learn. In other words we do not assume that there is a teacher. All the input an agent ever gets is the world and output from other agents. This requires a constructivist approach to learning. To meet these requirements we have split up learning into *diagnosing* and *repairing*. In section 5.1 we present the definitions for the base classes including diagnostic, problem and repair-strategy. Sections 5.2 and 5.3 present the two instantiations of this base-framework that we have provided by default in Babel. These are the process level learning mechanisms and the agent level learning mechanisms. We use the term learning mechanism to signify both diagnostic and repair-strategy. Section 5.4 shows a detailed example on how to write a diagnostic, with a corresponding problem and repair-strategy.

I suggest for everybody who intends to write an experiment to read the complete chapter carefully.

### 5.1 Base classes

The classes in this section are abstract base classes of the learning mechanisms. Different levels of learning mechanisms subclass from them and add further semantics. All these classes can be found in the file “learning-mechanisms.lisp” in the experiment-framework.

#### 5.1.1 class **diagnostic**

---

**description**    The base class for all diagnostics.

**situations**    (`learning-situations :type list :reader learning-situations :initform nil`  
                  `:initarg :learning-situations`)

A situation narrows down the point of execution of a diagnostic. The kinds of learning-situations depends on the level the diagnostic is operating on. It is a list of symbols.

#### 5.1.2 monitor event **diagnostic-started** (*diagnostic diagnostic*)

---

**description**    Triggered when a diagnostic starts running.

**diagnostic**    The diagnostic that is run.

#### 5.1.3 class **problem**

---

description	Represents a problem. A problem is created by diagnostics to signal a failure or some inefficiency and contains all the information necessary to deal with the problem.
slot issued-after	(issued-after :type symbol :accessor issued-after :initform nil :initarg :issued-after)  This is a symbol representing when this problem was signaled. When created by a process-diagnostic this is the name of the process.
slot repaired-by	(repaired-by :type t :accessor repaired-by :initform nil :initarg :repaired-by)  This slot is automatically set to the repair-strategy that repaired it. When it's nil it means it's still unrepaired

#### 5.1.4 *monitor event* **diagnostic-returned-problems** (*diagnostic diagnostic*) (*problems list*)

---

description	Triggered after a diagnostic was run and only if it returned at least one problem.
diagnostic	The diagnostic that was run.
problem	The list of problems that was returned.

#### 5.1.5 *class* **repair-strategy**

---

description	Base class for all repair strategies. Repair strategies are able to deal with a set of problems.
slot triggered-by-problems	(triggered-by-problems :type list :reader triggered-by-problems :initform nil :initarg :triggered-by-problems)  A list of problems (class names of problems) that the repair strategy might be able to fix.
slot learning-situations	(learning-situations :type list :reader learning-situations :initform nil :initarg situations)  situation narrows down the point of execution of a repair-strategy. The kinds of learning-situations depends on the level the repair-strategy is operating on. It is a list of symbols.

---

slot **success-score** (success-score :type number :accessor success-score  
:initform 1.0 :initarg :success)

Resembles how successful the repair-strategy is. The higher the better. This will be used to sort when multiple repair-strategies can be triggered at the same time.

#### 5.1.6 *monitor event* **repairing-started** (*repair-strategy repair-strategy*) (*problem problem*)

---

description When a repair strategy is called.

repair-strategy The repair strategy that is called.

problem The problem on which it is called.

#### 5.1.7 *monitor event* **repairing-finished** (*repair-strategy repair-strategy*) (*repaired boolean*)

---

description After a repair strategy was run.

repair-strategy The repair strategy that was run.

repaired Whether it was able to repair or not.

#### 5.1.8 *class* **object-with-learning-mechanisms**

---

description A helper class that provides derived classes with learning mechanisms. At the moment of writing the classes that derive from this are an experiment [4.2.1.1], an agent [4.1.2.1] and a task [6.1.1.1].

slot **diagnostics** (diagnostics :type list :accessor diagnostics  
:initarg :diagnostics :initform nil)

A list of diagnostics.

slot **repair-strategies** (repair-strategies :type list :accessor repair-strategies  
:initarg repair-strategies :initform nil)

A list of repair strategies.

#### 5.1.9 *generic function* **add-diagnostic** *object diagnostic*

---

description/ default implementation Adds a diagnostic to **object**.

**object** Anything that is derived from **object-with-learning-mechanisms**.

**diagnostic** An instance of a diagnostic. If there is already an diagnostic of the same class as **diagnostic** in **object**, that diagnostic is replaced and you will get a warning.

---

```
example (add-diagnostic *experiment*
          (make-instance 'uncovered-meaning-diagnostic))
```

#### 5.1.10 *generic function* **delete-diagnostic** *object diagnostic*

---

description/ default implementation Deletes a diagnostic from `object`.

`object` Anything that is derived from `object-with-learning-mechanisms`.

`diagnostic` A diagnostic of `object`.

#### 5.1.11 *generic function* **add-repair-strategy** *object repair-strategy*

---

description/ default implementation Adds a repair strategy to `object`.

`object` Anything that is derived from `object-with-learning-mechanisms`.

`repair-strategy` An instance of a repair strategy.

#### 5.1.12 *generic function* **delete-repair-strategy** *object repair-strategy*

---

description/ default implementation Deletes a repair strategy from `object`.

`object` Anything that is derived from `object-with-learning-mechanisms`.

`repair-strategy` A repair strategy of `object`.

#### 5.1.13 *monitor* **trace-learning** *trace-monitor*

---

description Prints information on detected and repaired problems.

#### 5.1.14 *monitor* **trace-learning-verbose** *trace-monitor*

---

description In addition to the stuff printed by `monitor trace-learning`, it also prints which learning mechanisms are run and changes of an agent's inventories (for example when rules are added or modified).

## 5.2 Process level learning

In Babel one can learn (by default) both at the level of processes (see chapter ?? if you don't know what processes are) and at the level of an agent. In this section we focus on the process level learning mechanisms.

A process-diagnostic can be run after any given process. It can report a problem if it detects one. After every process there is a check for new problems and the process level repair strategies get a chance to fix them. In case of a successful repair the repaired-by slot of the process get's set to the repair-strategy and there might be a restart to a previous process. This will become more clear when you get read about the classes and their methods further down. All of this can be found in the file "process-learning-mechanisms.lisp" in tasks-and-processes.

### 5.2.1 *class process-diagnostic diagnostic*

---

description	A diagnostic that is triggered after the execution of a process. Examples of possible learning-situations are production, re-entrance, interpretation, production.
slot trigger-processes	(trigger-processes :type list :reader trigger-processes :initform nil) The names of processes after which this diagnostic should be triggered.

This means the exact point of execution of a process-diagnostic is a combination of the learning-situations and the trigger-processes. For example if the learning-situations are '(production) and the trigger-processes are '(apply-lex-stem) the diagnostic will only be called during production and after the lex-stems were applied.

To run a process-diagnostic one has to implement a diagnose-process method. If you define a process-diagnostic without supplying an implementation for this method an error will be thrown. Diagnose-process should return either one problem, a list of problems or nil. If this is not the case an error will be thrown. In case one or more problems are returned they are automatically added to the problems of the task that is currently running. When nil is returned it means that the diagnostic did not diagnose anything.

### 5.2.2 *generic function diagnose-process process-diagnostic task process*

---

description/ default implementation	Diagnose-process is called after running a process and handling it's process-results. It's exact call-location depends on the combination of it's trigger-processes and it's learning-situations. e.g. If trigger-process is apply-lex-stem and situation is production this method will only be executed after apply-lex-stem during production of the speaker and nowhere else.
process-diagnostic	The diagnostic you want to specialise on.
task	You will need this task for diagnosing a potential problem.
process	A process is just a symbol but it might be necessary if the diagnostic can be triggered after different processes. Since we provide you with the process you know immediatly in which case you are. You can even specialise on it.

### 5.2.3 *class task-problem problem*

---

---

description	A problem is always related to a task. Therefore you should always derive from this and not from the base-class problem.
slot <code>task</code>	<pre>(task   :type task   :initform (error "When creating a task-problem you have to supply a :task")   :initarg :task   :accessor task)</pre> <p>The task this problem is about.</p>

#### 5.2.4 *class* **process-repair-strategy** *repair-strategy*

---

description	A process-repair-strategy can be triggered on a problem. Process repair-strategies are checked in between every process.
-------------	--

Every process-repair-strategy should have a repair-process method specialised on it. Repair-process can return two values. The first a boolean whether the repair succeeded or not. Second a process (which is a symbol) to which the task must be restarted. If this is nil it will just continue. I would like to stress that one should be very careful in setting the first boolean to true and restarting. Because if the problem during the restart gets signaled again the repair will be triggered again and one potentially finds himself in an infinite loop. So only return true (with a restart) when you are very certain that all necessary modifications have been made so that the problem will not get diagnosed anew.

#### 5.2.5 *generic function* **repair-process** *repair-strategy problem task process*

---

description/ default implementation	In between every process the problems will be checked and if possible a correct repair-process will be executed. It can return two values. The first a boolean whether the repair succeeded or not. Second a process (which is a symbol) to which the task must be restarted. If this is nil it will just continue.
<code>repair-strategy</code>	The repair-strategy you want to specialise on.
<code>task</code>	The current task. You will probably also need it for repairing.
<code>problem</code>	The problem that triggers the repair-strategy. You probably need to specialise on this too. A problem can contain many usefull slots containing information already gathered during diagnosing.
<code>process</code>	A process is just a symbol but it might be necessary if the diagnostic can be triggered after different processes. Since we provide you with the process you know immediatly in which case you are. You can even specialise on it.



### 5.3 Agent level learning

Sometimes it is impossible to diagnose or repair something in between processes. One reason is that at the process level you do not have all the information necessary to perform the diagnosis. For example when you need re-entrance information and compare this to production. Sometimes it is possible to diagnose something after a given process but can only repair it later e.g. after receiving pointing information.

One of the nicest features of the learning framework is that problems are “level-independent”. A problem diagnosed by a process level diagnostic can be repaired by an agent level repair-strategy. This is possible because all the problems from the (best) task get copied to the agent when the task has finished. Actually it runs deeper, there is no direct link between a diagnostic and a repair-strategy. Their connection is only indirect by the use of problems.

We will start by presenting the most important classes. All the information presented here can be found in “agent-learning-mechanisms” in experiment-framework.

#### 5.3.1 *class agent-diagnostic diagnostic*

---

**description** A diagnostic that is triggered after run-agent.

For every agent-diagnostic one has to supply a diagnose-agent method.

#### 5.3.2 *generic function diagnose-agent diagnostic agent-interaction-point agent world*

---

**description/ default implementation** After run-agent diagnose-agent will be called for every agent-diagnostic. They have to return either one problem, a list of problems or nil. The problems will be pushed onto the problems of the agent automatically.

**diagnostic** The diagnostic you want to specialise on.

**agent-interaction-point** This is a more specific name for a learning situation at the agent level. It is a symbol and can be used to specialise or just to check what the interaction-point is.

**agent** The agent that is currently running.

**world** This is one of the major differences with a process-diagnostic that one has access to the world at this level.

#### 5.3.3 *class agent-repair-strategy repair-strategy*

---

**description** These repair strategies are executed after **run-agent**. They try to repair problems in the agent, which could also be problems created by lower-level diagnostics.

Every agent-repair-strategy should supply a repair-agent method. A repair-agent-method can return two values. The first a boolean whether the repair was successful or not. Second can be anything which is guaranteed to be put into the “rerun-data” slot of the agent. The second value

only matters when the first is non nil and consists of rerun-data. When it is not nil it signifies a restart of run-agent. This second value will also be stored automatically in the “rerun-data” slot of the agent. This allows one to change the behaviour during a rerun. For example one could skip conceptualisation.

### 5.3.4 *generic function* **repair-agent** *repair-strategy agent-interaction-point problem agent world*

description/ default implementation	repair-agent is called after run-agent. It might however also repair problems created by lower-level diagnostics. It can return two values. The first one a boolean signifying whether the repair was successful. The second rerun-data that is automatically stored in the rerun-data slot of the agent. When the rerun-data is non-nil a restart will be initiated.”
repair-strategy	The repair-strategy you want to specialise on.
agent-interaction-point	This is a more specific name for a learning situation at the agent level. It is a symbol and can be used to specialise or just to check what the interaction-point is.
problem	The problem that triggers the repair-strategy. You probably need to specialise on this too. A problem can contain many usefull slots containing information already gathered during diagnosing.
agent	You have access to the agent during repairing.
world	You have access to the world during repairing.

### 5.3.5 *class* **rerun-data**

description	An empty abstract class that can be used to derive from when creating objects to return as second value in repair-agent. Rerun-data-with-restored-task which is used in the language-game templates is derived from this.
-------------	---

### 5.3.6 *class* **rerun-data-with-restored-task** *rerun-data*

slot	(trigger-processes :type list :reader trigger-processes :initform nil)
trigger-processes	The names of processes after which this diagnostic should be triggered.

## 5.4 Detailed example

We will start with an example for writing process level learning mechanisms. If you are not yet acquainted with the cookie-baking example in section 6.3 from chapter ?? then I suggest you read that first because the current example builds further on that one.

For clarity reasons, here is what we had so far.

```
(defclass cookie-baking-agent (agent-with-tasks)
```

```

()
(:documentation "An agent capable of making delicious cookies.")

(defmethod initialize-instance :after ((agent cookie-baking-agent) &key)
  (add-data-field agent 'available-ingredients nil))

(defclass simple-cookie-baking-task (task)
  ()
  (:documentation "An implementation of a task for baking simple cookies."))

(defmethod initialize-instance :around ((task simple-cookie-baking-task) &key &allow-other-keys)
  (call-next-method)

  (add-data-field task 'used-ingredients nil)
  (add-data-field task 'missing-ingredients nil)
  (add-data-field task 'cookies nil)

  (add-process task 'find-all-ingredients nil)
  (add-process task 'make-cookies '(find-all-ingredients))
  (add-process task 'bake-cookies '(make-cookies)))

(defmethod run-process ((task simple-cookie-baking-task)
                        (process (eql 'find-all-ingredients)))
  (if (subsetp '(chocolate flour) (get-data task 'available-ingredients))
      (list (make-process-result :succeeded t :confidence 1.0
                                :data (list (cons 'ingredients (chocolate flour)))))
      (list (make-process-result :succeeded nil :confidence 0.0
                                :data (list
                                       (cons 'ingredients
                                             (intersection '(chocolate flour)
                                                            (get-data task 'available-ingredients))))))))

(defmethod run-process ((task simple-cookie-baking-task)
                        (process (eql 'make-cookies)))
  ...
  (list (make-process-result ...)))

(defmethod run-process ((task simple-cookie-baking-task)
                        (process (eql 'bake-cookies)))
  ...
  (list (make-process-result ...)))

(defmethod goal-achieved ((task simple-cookie-baking-task)
                          (and (find 'bake-cookies (finished-processes task))
                               (succeeded (get-process-result task 'bake-cookies))))

```

What would happen if an agent runs out of some ingredients. This would mean that every cookie-baking task would fail since find-all-ingredients would fail.

To solve this issue we define a process-diagnostic that is able to detect that ingredients are missing.

```
(defclass detect-missing-ingredients (process-diagnostic)
```

```

()
(:documentation "After running find-all-ingredients this
diagnostics checks whether there where ingredients missing.")

(defmethod initialize-instance :after ((diagnostic detect-missing-ingredients) &key)
  (setf (slot-value diagnostic 'trigger-processes) '(find-all-ingredients))
  (setf (slot-value diagnostic 'learning-situations) '(baking)))

```

Note the after method that sets the learning-situations and at this point more important the trigger-processes. We also create a problem that this diagnostic can create in case of a shortage of ingredients.

```

(defclass missing-ingredients-problem (task-problem)
  ((missing-ingredients :documentation "A list of the missing ingredients."
    :initform (error "Please supply :missing-ingredients.")
    :initarg :missing-ingredients :accessor missing-ingredients :type list))
  (:documentation "This problem is created when there are missing ingredients."))

```

It has one slot that can be used to store the missing ingredients. In this way the repair-strategy does not have to look for them again but can just access them from this problem.

Now it's time to supply the diagnose-agent method which will do the diagnosing.

```

(defmethod diagnose-process ((diagnostic detect-missing-ingredients)
  (task task) (process symbol))
  (let ((process-result (get-process-result task 'find-all-ingredients)))
    (when (and (not (pr-succeeded process-result))
      (field? (pr-data process-result) 'missing-ingredients))
      (make-instance 'missing-ingredients-problem
        :missing-ingredients (get-data process-result 'missing-ingredients)
        :task task))))

```

This is all that is necessary for creating a diagnostic that will successfully diagnose missing ingredients. Of course we need to supply a repair-strategy that can handle this problem by buying the missing ingredients.

```

(defclass buy-missing-ingredients (process-repair-strategy)
  ()
  (:documentation "This repair strategy will add some more
ingredients to the available ingredients."))

(defmethod initialize-instance
  :after ((repair-strategy buy-missing-ingredients) &key)
  (setf (slot-value repair-strategy 'triggered-by-problems) '(missing-ingredients-problem))
  (setf (slot-value repair-strategy 'learning-situations) '(baking)))

```

The after method makes sure that the repair-strategy knows which problems it might be able to repair. It has the same learning-situations so will trigger right after the diagnostic. The repair-agent method can be implemented as follows:

```

(defmethod repair-process ((rs buy-missing-ingredients)

```

```
(problem missing-ingredients-problem) (task task)
(process symbol))
(loop for (ingredient amount) in (get-data (agent-data task) 'available-ingredients)
  when (find ingredient (missing-ingredients problem) :test #'equal)
  do (nsubst (list ingredient 5)
(list ingredient 0)
(get-data (agent-data task) 'available-ingredients)
:test #'tree-equal))
(when (every #'(lambda (item) (> (second item) 0))
  (get-data (agent-data task) 'available-ingredients))
(values t 'find-all-ingredients)))
```

This will search for ingredients for which we have zero in stock and put 5 new there. It will restart at the beginning of find-all-ingredients but only when it is certain that it has indeed supplied all the necessary ingredients.

---

## 6 Tasks and Processes

---

Most of this chapter is not needed when writing experiments. When using the language game templates you will only get confronted with tasks when writing learning mechanisms.

For those that would like to read the minimum and are not interested in writing their own tasks we advise section 6.1.1.1 and when you're interested in writing process learning mechanisms it is strongly advised to read chapter 5 carefully and since you will be accessing information about the processes it is also recommended to read section 6.2.

Section 6.3 clearly shows an example of how to create a task and knowing how to write your own will most certainly help you in understanding the default tasks.

For those who are interested how tasks and processes are run behind the scenes there is section 6.1.2. If you are writing advanced learning mechanisms it might also be a good read.

### 6.1 Tasks, task-processors and task-results

Tasks are used for maintaining and running processes. They are the primary interface to tasks and processes. From the moment you want more control over your experiments than the default behaviour you will have to deal with tasks. In section 6.1.1.1 class task is explained in full detail.

#### 6.1.1 Task

##### 6.1.1.1 *class task object-with-learning-mechanisms*

---

description	A “task” inherits from object-with-learning-mechanisms. Besides the slots it inherits from its superclasses it contains some new slots that are specific to a task.
slot	(id :type symbol :reader id)  A symbol that is generated automatically during instantiation by incrementing the id-counter and prepending it with 'TASK. Therefore id's are of form “TASK-X”.
slot	(data :type blackboard :accessor data :initarg :data)  Data that is local to the task and exchanged between processes.
slot	(agent-data :type blackboard :accessor agent-data :initarg :agent-data)  (A copy of) the persistent data (inventories) of the agent.

slot	(processes :type list :initform nil :reader processes)	An alist of processes and their dependencies that are used by this task. A list of conses (a . (b c)): a depends on b and c.
slot	(finished-processes :type list :initform nil :accessor finished-processes)	The processes that have been run.
slot	(process-results :type list :initform nil :accessor process-results)	An alist of all finished processes and their process-result. When a process finished it returns a process-result. This result is always (irrespective of it being successful or not) added to the process-results.
slot	(problems :type list :initform nil :initarg :problems :accessor problems)	All the problems that were reported by diagnostics operating on a task level or lower. (e.g. the process-diagnostics)
slot	(data-states :type list :initform nil :initarg :data-states :accessor data-states)	We keep a copy of the data-slot at the beginning of every process. This allows for very easy, fast and non-ambiguous restoring of a task. Although it comes at some copying cost during task execution. It is an alist of (process . data).
slot	(agent :type list :initform nil :initarg :agent :accessor agent)	A pointer to the agent which created this task (if applicable). Added this slot for monitoring purposes only.
slot	(configuration :type configuration :initarg :configuration :accessor configuration)	For configuring the task.

First we present the generic functions that you will probably need when creating your own task or writing learning mechanisms.

#### 6.1.1.2 *generic function* **get-process-result** *task process*

---

description/ default implementation	Returns the process-result for the given process. It errors when it cannot find a process-result for the given process.
--	---

#### 6.1.1.3 *generic function* **run-process** *task process*

---

description/ default implementation	This is the method that has to be implemented for every process. It is in a sense what defines the process. It should return a list of process-results. Even when a process fails it should return a list containing one process-result.
--	--

---

6.1.1.4 *generic function* **goal-achieved** *task*


---

description/ default implementation	Returns t if the goal of a task was achieved. It is a very important method because a task can only be successful if it passes this test. When it does it also completely stops the running of any other processes from the task because it has achieved what it needed to.
---	---

---

6.1.1.5 *generic function* **finished-processes** *task*


---

description/ default implementation	Returns a list of all processes that have been run irrespective of them being successful.
---	---

---

6.1.1.6 *generic function* **add-process** *task process dependencies*


---

description/ default implementation	Adds a process and it's dependencies to a task.
---	---

---

6.1.1.7 *generic function* **delete-process** *task process*


---

description/ default implementation	Deletes a process and it's dependencies from a task.
---	--

---

6.1.1.8 *generic function* **run-task** *task*


---

description/ default implementation	Runs the given task. It returns a task-result-collection since running a task could spawn many new tasks in case of ambiguity.
---	--

The following generic functions are more internal to the execution of tasks and processes and you will most probably not need them unless you are interested in changing how a task is run.

---

6.1.1.9 *generic function* **get-all-process-dependencies** *task process* *Optional result*


---

description/ default implementation	Returns a list of all the processes that <i>have to be finished before</i> this process can be run. This is a recursive (and deeper) variant of get-process-dependencies.
---	---

---

6.1.1.10 *generic function* **get-all-dependent-processes** *task process* *Optional result*


---

description/ default implementation	Returns a list of all processes that <i>cannot</i> be run before the given process is run. So all the processes that are directly or indirectly dependent on the given process.
---	---

---

6.1.1.11 *generic function* **dependencies-solved?** *task process*


---

description/ default implementation	Returns true if all the dependencies of the given process are solved.
---	---



---

6.1.1.12 *generic function* **get-processes-without-dependencies** *task*


---

description/ default implementation	Returns a list of processes without their dependencies. This is just a list of all the processes the task knows.
--	--

---

6.1.1.13 *generic function* **get-process-dependencies** *task process*


---

description/ default implementation	Returns a list of processes on which the given process depends. The list contains only the direct dependencies. e.g. If 'a' depends on 'b' and 'b' depends on 'c' and you ask get-process-dependencies for 'a' it will only give you 'b' and not 'c'. If you want the complete list of dependencies use get-all-process-dependencies.
--	---

---

6.1.1.14 *generic function* **add-process-result** *task process process-result*


---

description/ default implementation	Add the process-result to the process-results of the object.
--	--

## 6.1.2 Behind the scenes: Running of a Task

Running all the processes in a task requires a lot of bookkeeping. For example one needs to keep track of all the processes that have been run, after every process check whether dependencies of some processes have been met and queue them so they can be run next. Since we did not want to clutter the class `task`, we created a new structure `task-processor` that contains one task, namely the task that is being run and has some extra slots such as a process-queue for bookkeeping. Normally you should never have to create a task-processor yourself. This is all done automatically behind the scenes. Moreover chances are very small you will ever interface with a task-processor since when a task has finished a task-result (see section 6.1.2.4) is created and the task-processor gets collected in the garbage.

---

6.1.2.1 *structure* **task-processor** *node*


---

description	A task-processor contains a task and other information needed for running the processes in this task.
-------------	---

slot	<pre>(task :type task :accessor tp-task :initarg :task       :initform (error "Please provide a task                        when creating a task-processor."))</pre>
------	--

The task this task-processor task is processing.

slot (confidence :type float :accessor tp-confidence  
:initarg :confidence :initform 0.0)

This is used during running tasks for determining which should be run next. So tasks are run by priority on their confidence. And also in the end the best-task is the one with the highest confidence. The confidence of a task is a function of the confidences of the processes it has run.

slot (process-queue :type queue :accessor process-queue  
:initarg :process-queue :initform (make-instance 'queue))

The process-queue is used for keeping track of the processes when running them.

#### 6.1.2.2 *generic function* **restart-task** *task-processor process*

---

description/ default Restart a task at a specific process. Restores the process-queue of the  
implementation task-processor and rewrites previous process results to the black board.

#### 6.1.2.3 *generic function* **run-processes** *task-processor*

---

description/ default Try running all processes of the task inside the task-processor.  
implementation

There are three different possibilities for a task to stop.

1. First (goal-achieved task) could return true. When this is the case the task has achieved it's goal and stops it's execution.
2. Second when a task has no more processes to run (because it has run them all, or because some dependencies are not met) and the goal has not been achieved yet the task simply fails.
3. Third the task could also "split" into multiple new tasks. This only happens when run-process returns more then one process-result. When this is the case the original task also stops and the newly created tasks take over. In a sense the task not really stops, it just hands over responsibility to it's children.

In the first two of these cases an object of structure "task-result" is created. Normally you never have to create a task-result yourself. You will however get in contact with task-results when writing learning mechanisms. In section 6.1.2.4 you find all the details regarding this class.

#### 6.1.2.4 *structure* **task-result** *node*

---

description A task-result is created when a task has finished running. It contains the finished task and some extra slots to indicate whether it succeeded or not and a confidence. This means it has a contains-a relation with the task and not a is-a relation. It derives from node which means it can be used in a tree structure.

slot (task :type task :initform (error "Please provide a task  
when creating a task-result.")  
:initarg :task :accessor tr-task)

This is the task the task-result is about. Since you only create a task-result when the task has finished you have to immediately supply the task via the :initarg.

slot (confidence :type float :initarg :confidence :accessor tr-confidence  
:initform (error "Please provide a confidence  
value when creating a task-result"))

A value between 0 and 1. 1 meaning that you are very confident. In most cases this will be a function of the process-results of the task.

slot (succeeded :type boolean :accessor tr-succeeded :initarg :succeeded  
:initform (error "Please provide a boolean value for  
succeeded when creating a task-result"))

Indicates whether the goal of the task was achieved.

Some generic functions from section 6.1.1.1 are also specialised for a task-processor and a task-result. The call is just passed to task contained in the task-processor or task-result. These are:

- id
- processes
- process-results
- finished-processes

Since a task can split into multiple new tasks and these tasks can split again it is obvious that the running of one task cannot always return one task-result. It might be many different task-results, some of them succeeded others failed. We have captured this in a new structure named task-result-collection. It includes structure mtree which means that is also a tree. When writing learning mechanisms you will most probably have to interface with this class very often.

#### 6.1.2.5 structure **task-result-collection** *mtree*

---

description Contains task-results and task-processors structured as a tree. When running a task it is an instance of task-result-collection that is returned. In the most simple case (when there is no ambiguity) this structure will only contain one task-result. However when ambiguous tasks are run it will be a far more elaborate structure with different task-results.

slot (succeeded-task-results :type list :accessor succeeded-task-results  
:initform nil)

All succeeded task-results. In many cases this will just contain one element.

slot (failed-task-results :type list :accessor failed-task-results  
:initform nil)

All failed task-results.

---

6.1.2.6 *generic function* **best-task-result** *task-result-collection*


---

description/ default implementation Returns task-result with the highest confidence. It first tries searching succeeded-task-results and if this is empty it tries failed-task-results.

---

6.1.2.7 *generic function* **best-task** *task-result-collection*


---

description/ default implementation Returns the task inside the task-result with the highest confidence. It first tries searching succeeded-task-results and if this is empty it tries failed-task-results.

To conclude this section we present a simplified and incomplete version of how a task is run:

```
(defmethod run-task ((task task))
  (let ((task-result-collection (make-task-result-collection))
        (task-queue (make-instance 'queue))
        (active-task-processor)
        (enqueue-by-priority task-queue (make-instance 'task-processor :task task) #'tp-confidence)
        (loop until (empty-queue? task-queue)
              do
                (setf active-task-processor (pop-front task-queue))
                (solve-process-dependencies active-task-processor)
                (cond ((goal-achieved (task active-task-processor))
                      ;; the current task succeeded
                      (add (make-task-result :task (tp-task active-task-processor)
                                             :succeeded t)
                           task-result-collection))
                      ((not (empty-queue? (tp-process-queue active-task-processor)))
                       ;; there still are processes to run
                       (let ((new-tasks (run-processes active-task-processor)))
                         (enqueue-by-priority task-queue new-tasks #'tp-confidence)))
                      (t ;; the current task failed
                       (add (make-task-result :task (tp-task active-task-processor)
                                             :succeeded nil)
                           task-result-collection))))
        task-result-collection))
```

## 6.2 Processes and Process-results

Processes themselves are not modelled as classes since the only thing that defines them is what they do. A process is just a symbol like 'render or 'apply-con-rules but every such symbol should also have a run-process method that specializes on that symbol with an eql statement (also see 6.3 for an example). Since processes are not modelled they use the task they are part of as a blackboard to write their output data. When run-process finishes the data from the process-result is written to the task and the process-result itself is also fully stored in the task for in case one would like to investigate it for learning.

When a process is running (so inside the run-process of that process) three different scenario's are

possible.

1. First everything goes well and the run-process just returns a list containing one process-result with it's succeeded-slot set to true.
2. Second something went wrong and the process cannot be run successfully. In the cookie baking example it could be that you run out of ingredients. In this case one returns also a list containing one process-result but with succeeded-slot set to nil.
3. Third it could be that there is some kind of ambiguity. e.g. The recipe states that one should add sugar but you don't know whether it's brown or white sugar. In this case run-process should return a list process-results with a process-result for every possibility.

A process-result therefore plays a very important role not only in running the task but also when diagnosing or repairing you will interface with the process-results quite often. All process-results are remembered in the slot process-results of the task.

### 6.2.1 *structure* **process-result**

---

description	The output of the execution of a process.
slot	(data :accessor pr-data :type list :initarg :data :initform nil) The data you wish to return to the task. It's an alist containig pairs of (datafield . data).
slot	(confidence :type float :accessor pr-confidence :initarg :confidence :initform 0.0) How confident the process is of this result.
slot	(succeeded :type boolean :accessor pr-succeeded :initarg :succeeded :initform nil) Whether depending procecces should be triggered.

### 6.2.2 *generic function* **handle-process-result** *task-processor process process-result*

---

description/ default implementation	Checks a process-result and writes all necessary changes to the task-processor (including the task it contains).
-------------------------------------	--

### 6.2.3 *generic function* **handle-process-results** *task-processor process list*

---

description/ default implementation	Handles a list of process-results. This may change the task-processor but it may also spawn new tasks.
-------------------------------------	--

## 6.3 Implementing your own task

Implementing your own task with it's own processes is very easy.

Assume you wish to create a task for baking cookies. This task contains three processes:

1. find-all-ingredients
2. make-cookies
3. bake-cookies

Although this is not entirely necessary we first create an agent because it makes more sense.

```
(defclass cookie-baking-agent (agent-with-tasks)
  ()
  (:documentation "An agent capable of making delicious cookies."))

(defmethod initialize-instance :after ((agent cookie-baking-agent) &key)
  (add-data-field agent 'available-ingredients nil))
```

Now we are ready to create a new class that derives from task or a subclass of task.

```
(defclass simple-cookie-baking-task (task)
  ()
  (:documentation "An implementation of a task for baking simple cookies."))
```

Remember that a task already derives from object-with-learning-mechanisms. So although this new task looks empty it is not. The next step is to let the task know about its processes and their dependencies. It is obvious that one cannot bake cookies before one has made the cookies and one cannot make cookies before one has found all necessary ingredients. So we have a linear dependency between the three processes. We do this as follows:

```
(defmethod initialize-instance
  :around ((task simple-cookie-baking-task) &key &allow-other-keys)
  (call-next-method)

  (add-data-field task 'used-ingredients nil)
  (add-data-field task 'missing-ingredients nil)
  (add-data-field task 'cookies nil)

  (add-process task 'find-all-ingredients nil)
  (add-process task 'make-cookies '(find-all-ingredients))
  (add-process task 'bake-cookies '(make-cookies)))
```

The next thing is to implement run-process methods for all three processes. The most important thing to note is that they specialize on process with eql and that they always return a list of process-results.

```
(defmethod run-process ((task simple-cookie-baking-task)
  (process (eql 'find-all-ingredients)))
  (if (subsetp '(chocolate flour) (get-data task 'available-ingredients))
      (list (make-process-result :succeeded t :confidence 1.0
        :data (list (cons 'ingredients (chocolate flour)))))
      (list (make-process-result :succeeded nil :confidence 0.0
        :data (list (cons 'ingredients
          (intersection '(chocolate flour)
            (get-data task 'available-ingredients))))))))))
```

```
(defmethod run-process ((task simple-cookie-baking-task)
                        (process (eql 'make-cookies)))
  ...
  (list (make-process-result ...)))

(defmethod run-process ((task simple-cookie-baking-task)
                        (process (eql 'bake-cookies)))
  ...
  (list (make-process-result ...)))
```

There is only one thing left to do now, which is to provide a test that allows the task to know it has achieved its goal. This is done by implementing the goal-achieved method.

```
(defmethod goal-achieved ((task simple-cookie-baking-task)
                           (and (find 'bake-cookies (finished-processes task))
                                (succeeded (get-process-result task 'bake-cookies))))
```

A fully working implementation can be found in the file `simple-cookie-baking-task.lisp` which can be found under `experiment cookie-experiment` in folder `experiments`.

## 6.4 Process Learning Mechanisms

Since learning is crucially important we have dedicated a chapter to accommodate all there is to know about learning in the Babel framework. We refer you to chapter 5. In that chapter the above example will also be further expanded with learning mechanisms.

---

## 7 Fluid Construction Grammar: Syntax and Semantics

---

### 7.1 Introduction

Fluid Construction Grammar's (FCG) linguistic perspective is in the general line of cognitive linguistics and construction grammar (Goldberg, 1995; Langacker, 2000) and like many other contemporary theories it is feature structure- and unification-based. It is currently the only computational construction grammar formalism that can handle both parsing and production using the same set of constructions rather than using separate generation and parsing procedures as is done in other formalisms. So far, FCG has mainly been applied in research on the emergence and evolution of grammatical phenomena (van Trijp, 2008). This document will detail the syntax and semantics required for writing FCG constructions of varying complexity.<sup>1</sup>

### 7.2 Syntax and Semantics of FCG

The core data structure in FCG is a *Coupled Feature Structure* (CFS). As the name implies this is a coupling of two feature structures divided by <-->. These two feature structures are also referred to as *left-pole* and *right-pole* and in general (but not necessarily) the left-pole contains the semantics of the structure, the right pole the syntax.

A *Feature Structure* (FS) is an unordered *list* of units<sup>2</sup> and a *unit* is a list starting with a name (which has to be unique in the feature structure) followed by the actual features. A *list* encloses its elements within parentheses, thus a list of the elements a b and c is written as (a b c). A list can also include sub-lists as for instance the list (e f) instead of the element c which results in the list (a b (e f)).

A feature then is a list starting with a name (which has to be unique in the unit, not in the feature structure) followed by its *value* which can be any sort of (nested) list structure<sup>3</sup>. The template for a coupled feature structure looks like this:

---

<sup>1</sup> The interested reader is pointed to [www.fcg-net.org](http://www.fcg-net.org) to find links to our publications and more information on FCG.

<sup>2</sup> For linguists it might be helpful to think of units as constituents.

<sup>3</sup> There is one feature, the referent feature, where the value should not be a list but can be a single symbol. But this is an exception to the rule.



---

Coupled Feature Structure

---

```

(unit-1-name
 (feature-name-1 values) // values should be a list
 (feature-name-2 values)) // unique feature-names in the unit
(unit-2-name // unique unit-names in the FS
 (feature-name-2 values) // non unique feature-names in the FS
 (feature-name-3 values)))
<-->
right-pole (similar to the left-pole)

```

---

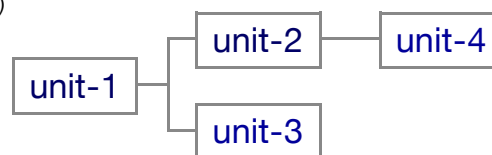
Note that a unit cannot contain another unit (i.e. they cannot be nested) and thus you cannot in this way build a tree-like feature structure. Instead in FCG a tree structure is built by using a special **subunits** feature of which the value is a list of unit-names as shown below.

```

(unit-1
 (subunits (unit-2 unit-3)))
(unit-2
 (subunits (unit-4)))
(unit-3)
(unit-4))

```

(a) FS in list representation



(b) FS graphically

Language processing in FCG always starts from an initial coupled feature structure consisting of one unit at both sides containing either only meaning (in production) or only form (in parsing). This CFS is then gradually modified by applying a sequence of FCG constructions. These *constructions* are also coupled feature structures but they can contain *variables* and special *FCG operators* that guide the unification process. An *FCG variable* is represented as a symbol that starts with a question mark. During unification it can be bound to a symbol, a list or another variable, but of course only to one value (check the examples).

---

Unification Examples

---

```

(unify '(a b (c)) '(a b (c))) // unifies (both lists are equal)
(unify '(a ?x c) '(a b c)) // unifies and binds variable ?x to b
(unify '((a (?z)) ?z) // won't unify because ?z
 '(a (b) c)) // should be bound both to b and c
(unify '(a b) '(b a)) // won't unify because the order differs
(unify '(a b c) '(a b)) // won't unify because of c

```

---

## FCG Special Operators

The *FCG special operators* guide the unification process by making it either more flexible or stricter. This section gives an overview of the most important ones. These operators are normally put at the beginning of a list and affect the values of that list.

```

((?top-unit
  (sem-subunits (== ?noun-unit))
  (meaning ((det ?ref [THE])))
  (footprint (==0 det-noun))) <-->
(?noun-unit
  (referent ?ref)))
  ((?top-unit
    (form (== (string ?det-unit "the")
              (meets ?det-unit ?noun-unit)))
    (syn-subunits (?noun-unit))
    (footprint (==0 det-noun)))
  (?noun-unit
    (syn-cat (==1 (pos noun))))))

```

(a) FCG construction in list representation.

Includes Operator (==)

functionality : The includes operator allows the list to be a sub-list of the other list and the ordering doesn't matter.

example : The last two examples from above will work by adding the includes operator.

```

(unify '(== a b) '(b a))
(unify '(== b a) '(a b c))

```

Includes Uniquely Operator (==1)

Functionality : The includes uniquely operator is like the includes operator but doesn't allow elements from the list to appear more than once in the other list. If the element is a list it only checks the first element of this list.

Example :

```

(unify '(==1 a b) '(a a b)) // won't unify although == would
(unify '(==1 (a)) '((a) (a b))) // won't unify

```

Includes Not Operator (==0)

Functionality : The Includes Not operator essentially disallows the elements that follow to appear in the other list. Even if one of them appears, it is enough to block the unification (also the ordering doesn't matter).

Example :

```

(unify '(==0 a b c) '(x)) // unifies
(unify '(==0 b a c) '(a)) // does not unify

```

Permutation Operator (==p)

Functionality : The permutation operator allows the other list to be a permutation of the list (i.e. the order doesn't matter).

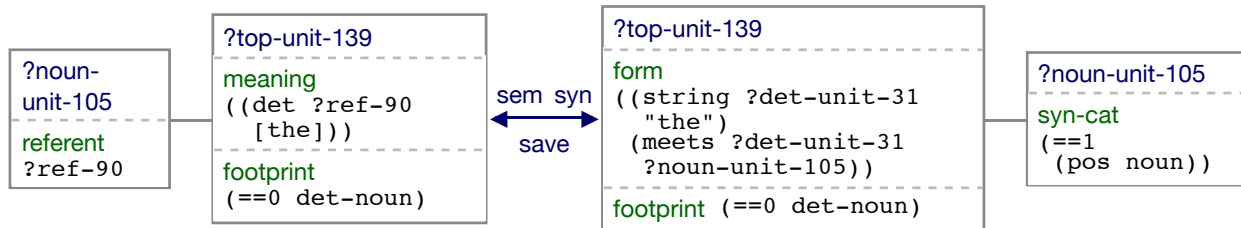
Example :

```

(unify '(==p ?x b) '(b a))
(unify '(==p c a) '(c b a)) // This won't unify, although == would

```

The above extensions allow us to write FCG constructions such as the one shown in figure 7.1.



(b) FCG construction in graphical representation

Figure 7.1: An FCG construction containing special operators.

Remark that unification in FCG never adds elements, except when binding variables and thus works differently than HPSG unification (Pollard, 1989). Adding elements is done by another operation called *merge*. Just like unification, merging requires two feature structures of which only one can contain special operators. We call the feature structure containing the special operators the *pattern* and the other one the *source*.<sup>4</sup> The merger will look for any extension of the source so that it would unify with the pattern. In the examples below the pattern is the first parameter, the source the second.

---

 FCG-Merge Examples
 

---

```
(fcg-merge 'a 'a)           // returns 'a
(fcg-merge '(a) '(a))       // returns '(a)
(fcg-merge '(a) '(a b))     // does not merge
(fcg-merge '(== a) '(a b))  // returns '(a b)
(fcg-merge '(a b) '(a))     // returns '(a b)
(fcg-merge '(==0 a) '(b))   // returns '(b)
```

---

Merging can also return multiple hypotheses, for example:

```
(fcg-merge '(== ?x a) '(a b c))
```

returns '(a b c) with ?x bound to either b or c.

Before we continue with more advanced ways to alter the feature structure there is one last key idea crucial to the understanding of grammatical constructions in FCG. This is the idea of *linking through variable equalities* (see (Steels et al., 2005)). As noted earlier one variable cannot be bound to multiple values but multiple variables can be bound to the same value (which can be a variable itself), we call this a variable equality.

### 7.2.1 Modification of Units and Moving Information between Units

Although we can now modify structures by merging in new information this is not powerful enough to build the complex constituent structures needed for processing natural language. In fact there are three important operations we currently cannot achieve:

---

<sup>4</sup> FCG constructions are thus patterns and the feature structures they apply on the source.

1. We are unable to create new units.<sup>5</sup>
2. We cannot relocate existing (or new) units in the tree.
3. We cannot move features from one unit to another.

In what follows we will show how we have solved these problems in FCG through a special tree manipulation operator called the J-operator (De Beule and Steels, 2005).

The *J-operator* is specified inside the feature structure itself and resides at the same level as the units, which is why we refer to the declarations of these operations as J-units. Such a *J-unit* doesn't however specify a unit at all, but instead specifies *operations* on a unit. To distinguish it clearly from “normal” units a J-unit does not start with a symbol (the unit-name) but instead with a list starting with the symbol J.

A J-unit specifies operations for only one unit, called the *focus unit*. Of course a feature structure can contain multiple J-units allowing operations on multiple units. The focus unit therefore is the only parameter you are required to supply.

```
((?top
  (form ((string ?top "big")))
  (J ?new-unit)
  (syn-cat ((pos adjective)))))
```

The above feature structure consists of only one “real” unit `?top` and one J-unit. When merged it will create a new unit `?new-unit` containing the syntactic category adjective. It's that easy to create new units and as shown in the example, the body of a J-unit (i.e. the part after the initial list) resembles that of a regular unit in that it can contain feature value pairs.

Although we can now create new units we would still like to specify where it should be located in the feature structure tree. This is, we would like to specify its parent unit and optionally even child units. This can be done by two optional parameters following the focus unit, first specifying the parent and then a list of children as shown in figure 7.2.

In the examples so far the focus unit has always been a reference to a new unit. The focus unit however, can refer to an existing unit as well. It will then not create a new unit but operate on the referred unit.

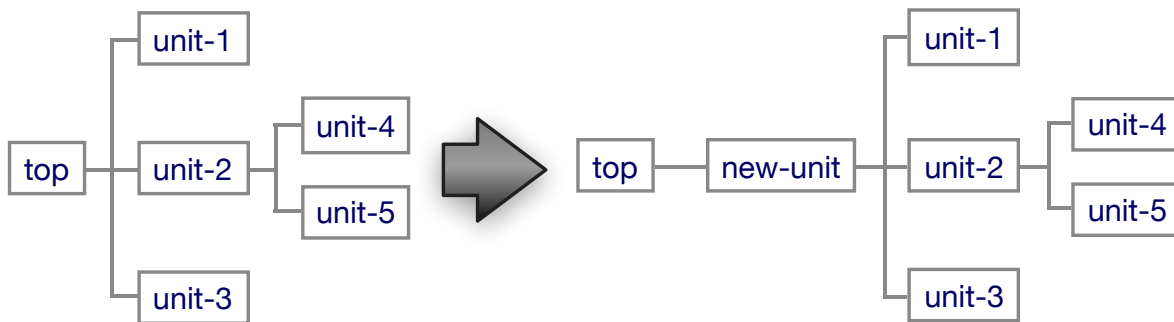
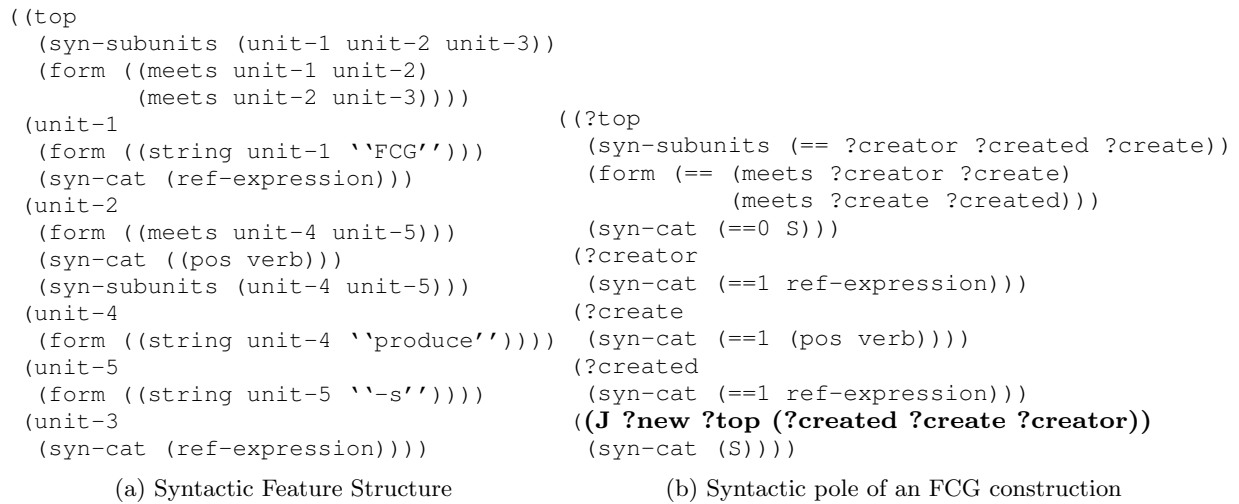
From the three missing operations presented above we have now addressed the first two. All that remains is the moving of features from one unit to another (existing or new) unit. To move something from A to B you need a way to mark the thing you wish to move and whereto. Marking what feature value pair you wish to move is done by the tag-operator which allows you to bind a feature-value pair to a variable. It has the following syntax:

```
(tag ?tag-name (feature value))
```

You can then simply put the tag variable (i.e. `?tag-name`) in the body of a J-unit to mark where the feature value pair should be moved to. It works like cut and paste, you cut by the tag-operator and paste by placing the tag-variable at the desired location in a J-unit. This means the body of a J-unit also allows these tag-variables to reside there next to feature value pairs. You cannot refer

---

<sup>5</sup>This could be done by merging, but not for complex trees and this would also cause problems with the bi-directionality of FCG.



(c)

Figure 7.2: Transformation of a feature structure by a J-unit.

to a tag-variable in regular units. An example is shown in figure 7.3 where a very small initial feature structure containing only one unit with some meaning is transformed into a new feature structure containing two units and where the tagged meaning is moved from one to the other.

To conclude the syntax of a J-unit looks as follows:

```

((J focus parent children)
 body)

```

with focus the only required parameter being a new variable or one of an existing unit. Parent should be a variable referring to an existing unit and children a list of existing unit variables. Body can contain tag-variables next to regular feature value pairs.

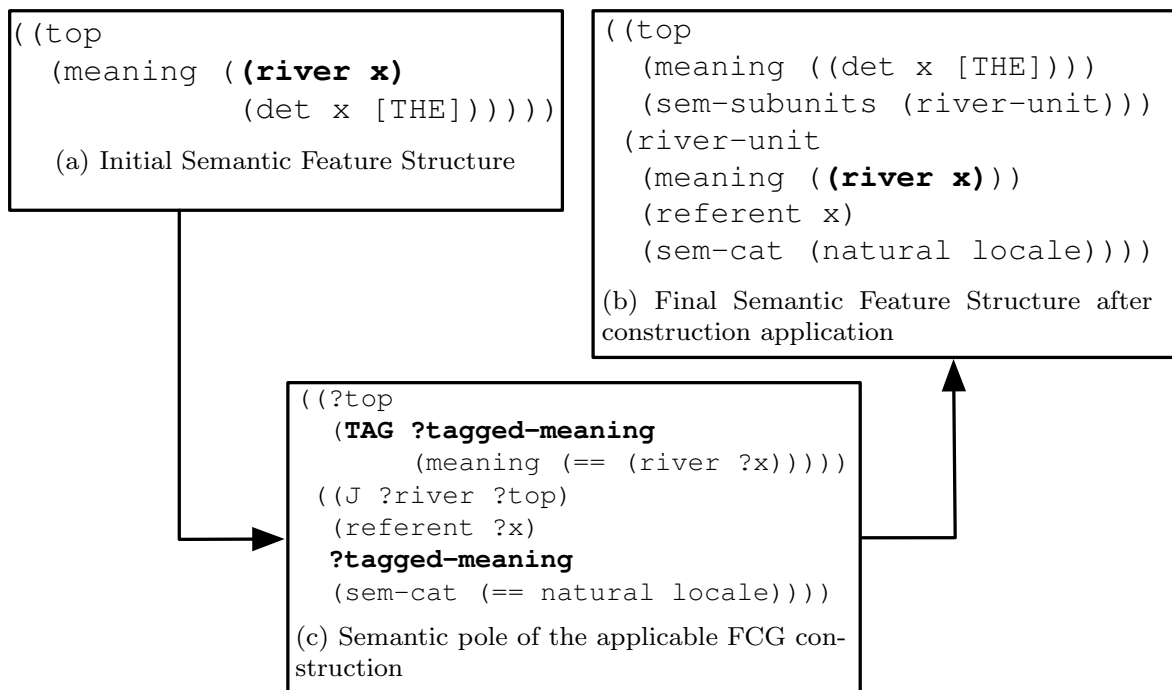


Figure 7.3: Transformation of a feature structure by a J-unit including tags. Note that (river x) is moved from top unit to the newly created river-unit

### 7.3 Language Processing in FCG

So far we have been concentrating on unification and merging of single feature structures<sup>6</sup>. We will now focus on *coupled* feature structure and how they are processed in bi-directional language processing.

Fluid Construction Grammar supports both production (generation in HPSG terminology) and parsing using the same set of constructions. Both start with an initial coupled feature structure that contains either only meaning (in production) or form (in parsing). This coupled feature structure is the key data structure of the language processing. It is this structure that will be modified by applicable constructions finally resulting in a much larger feature structure containing the inferred form and meaning. A high level view of such processing shown in figure 7.4.

As is clear from figure 7.4 applying a construction consists of at least two phases, a unification phase and a merge phase. As explained in section 7.2 unification is quite strict and can thus be seen as a conditional for the construction to apply. We do not both left and right pole of the coupled feature structure but only the left pole in production and the right-pole in parsing. When unification of the required pole is successful both poles of the construction are merged with the central coupled feature structure.

<sup>6</sup> We take J operations to be part of merging.

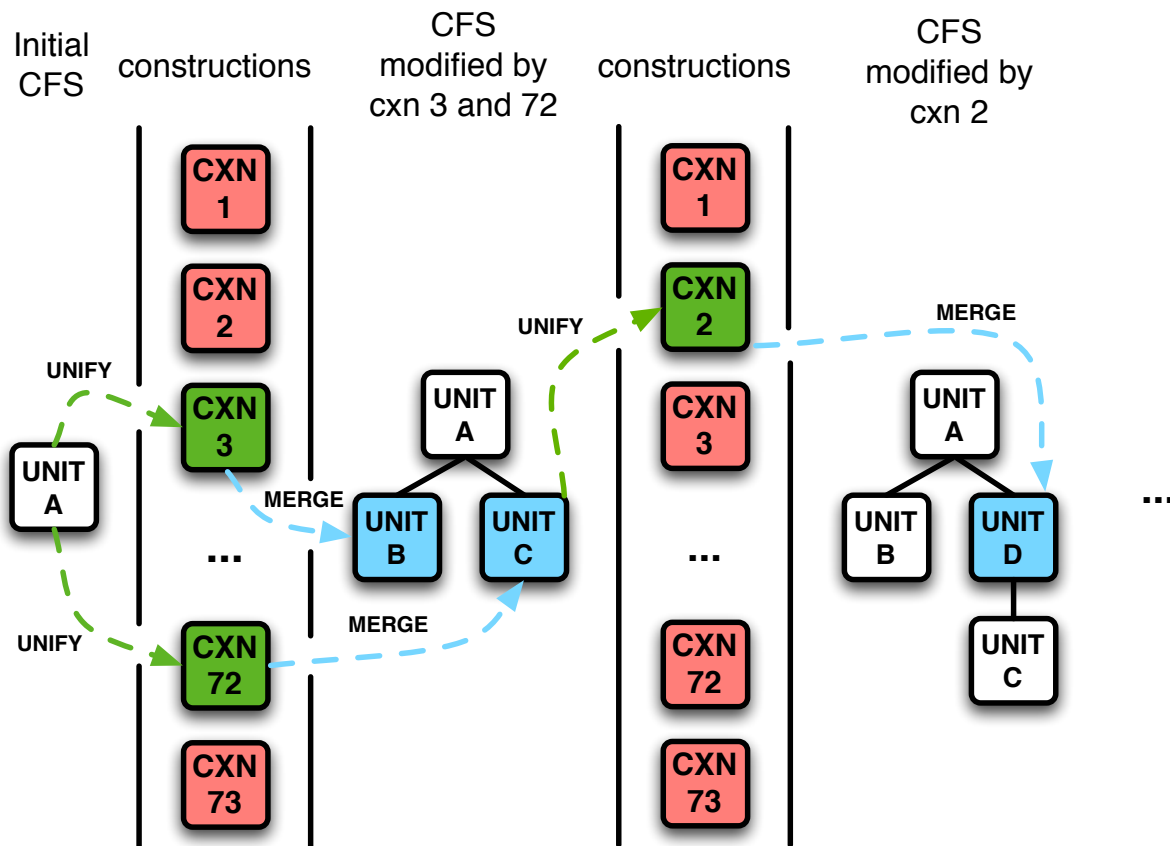


Figure 7.4: A schematic high level depiction of language processing in FCG. From left to right you start with an initial coupled feature structure (cfs). Every construction tries to unify with the cfs and those that can, can merge with the cfs thereby altering it and potentially allow other constructions to unify. This process is repeated until no constructions can apply any longer.

---

## 8 Test Framework

---

We supply a home-made, light weight *unit testing framework* that makes use of the powerful conditions system in lisp and the monitoring system from Babel.

In this chapter we will not describe its inner working but only its outward functionality. All of the code can be found in `tests/test-framework.lisp`.

### 8.1 Writing tests

Instead of using `defun` or `defmethod` when writing unit tests you use `deftest`. It behaves just like a `defun` but is surrounded by error-capturing and reporting functionality. In a `deftest` you can do whatever you would normally do in a `defun` with the addition of `test-error`, `test-ok` and `test-assert` which you can wrap around other calls.

#### 8.1.1 macro `test-error` expression

---

**description** `test-error` should be used for testing that errors you expect to be thrown, are indeed thrown. When wrapping an expression in `test-error` it will expect an error to be thrown. It will capture any error that is thrown, print a dot and continue. If however no error is thrown, it throws an error itself.

#### 8.1.2 macro `test-ok` expression

---

**description** When wrapping an expression in `test-ok` you expect that no error will be thrown. If an error should be thrown it will be captured and its message will be printed later on. Furthermore an “x” will be printed instead of a dot.

#### 8.1.3 macro `test-assert` expression

---

**description** When wrapping an expression in `test-assert` you expect the expression to not throw an error and return not nil. If however nil is returned this will be printed later on. If an error should be thrown this will also be reported.

The nice thing about these three macros is that they give informative feedback when things go wrong and it will continue its processing even if errors are thrown. If all goes well only dots will be printed for every call to one of those macros.



## 8.2 Example

```
(defun func-that-throws-an-error ()
  (error "foo bar"))
(defun func-that-throws-no-error ()
  t)

(deftest test-1 ()
  ;; these are good tests
  (test-assert (and (equal 2 (+ 1 1))
                    (eql (* 2 5) 10)))
  (test-ok (find 1 '(1 2 3)))
  (test-error (func-that-throws-an-error))
  ;; the next ones go wrong, but indeed the code keeps running
  (test-assert (equal 1 2))
  (test-ok (func-that-throws-an-error))
  (test-error (func-that-throws-no-error))
  ;; and again some good tests
  (test-assert 1))

(deftest test-2 ()
  ;; even when an error is thrown outside a (test-... ) call we do not
  ;; crash but we cannot however simply continue.
  (test-ok (+ 1 1))
  (func-that-throws-an-error)
  (test-ok (+ 2 2)))

(defun run-tests ()
  (test-1)
  (test-2))

(run-tests)
```

Calling run-tests will return the following output:

```
TEST-1: ...x
assertion failed for: (EQUAL 1 2)x
call: (FUNC-THAT-THROWS-AN-ERROR) generated an error!x
call: (FUNC-THAT-THROWS-NO-ERROR) DID NOT generate an error but you expected one!.
TEST-2: .x
TEST-2 threw an unexpected error:
foo bar
```

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